

## BIO 235

### Plants & People

### Evolution & Domestication

### of Crops

Lecture 12 - Guns, Germs & Steel -  
the causes and consequences of  
domestication – a global panorama

- Non-human agriculture

The causes of domestication

- Why then and not earlier?
- Why there and not elsewhere?
- Theories, common factors in different areas & explanations
- Biogeographic luck

The consequences of domestication

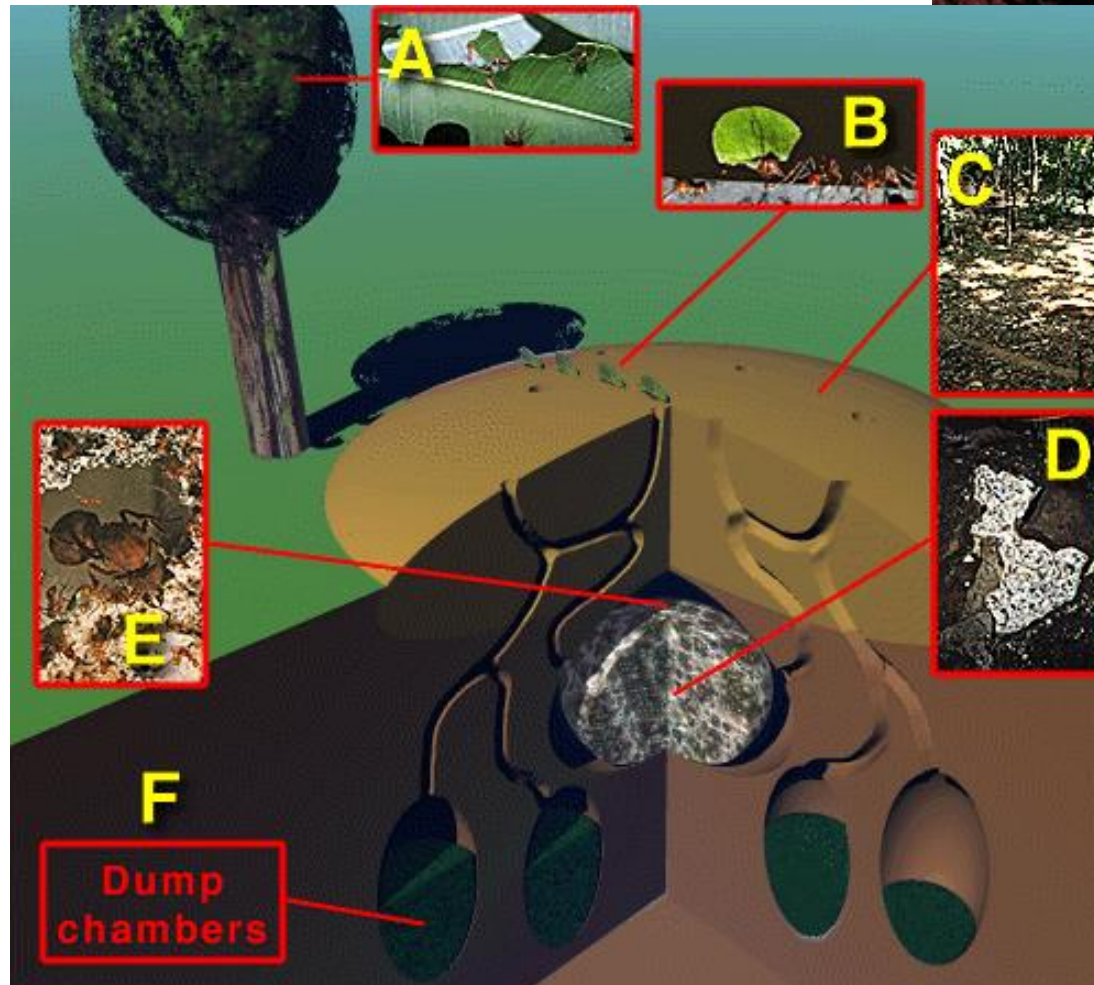
- Why some societies got ahead of others

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# Non-Human Agriculture

## Ant Fungiculture



Monophyletic group of 200 ant species - obligate agriculturalists



# Obligate plant farming by a specialized ant

Guillaume Chomicki\* and Susanne S. Renner



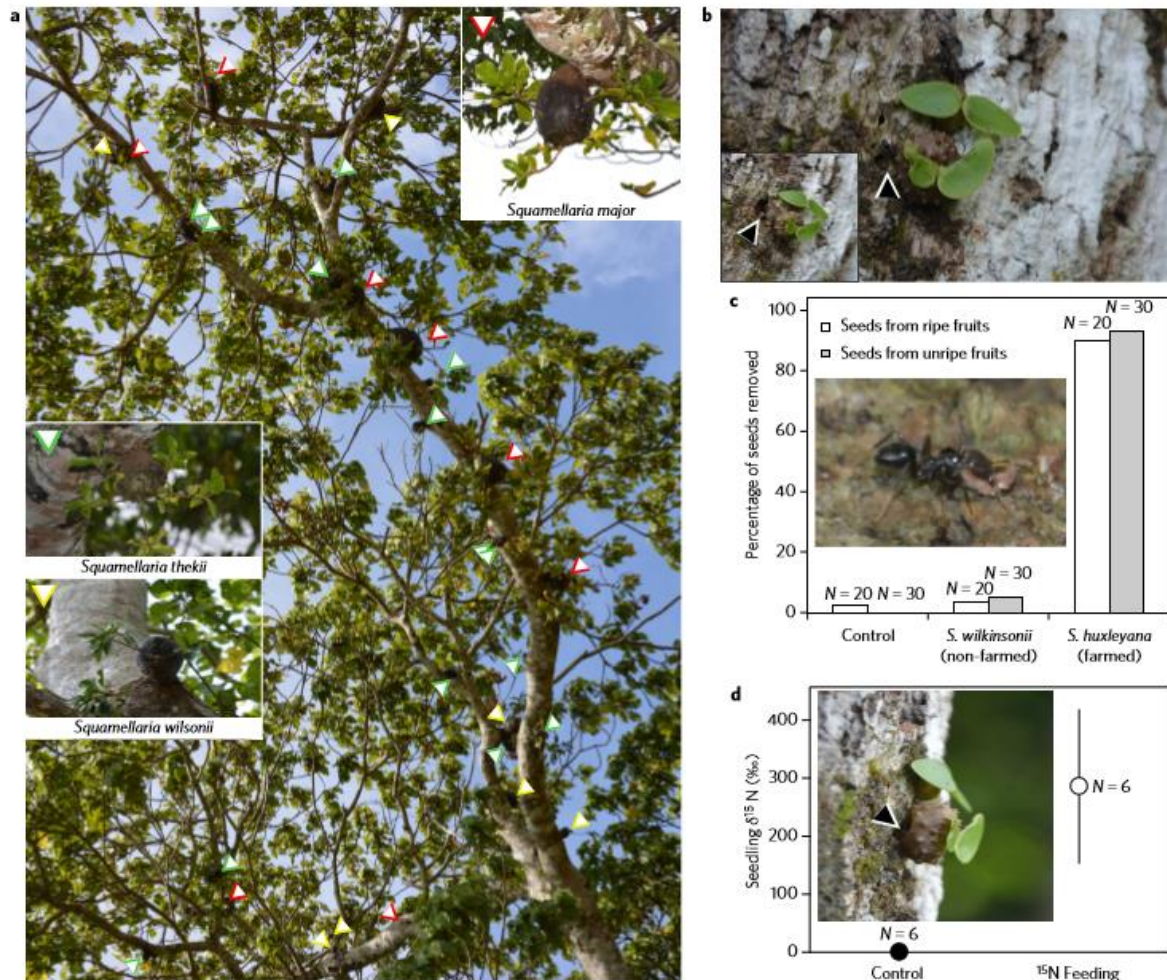
Ants actively and exclusively plant the seeds and fertilize seedlings of six species of epiphytic *Squamellaria* plants.





# Obligate plant farming by a specialized ant

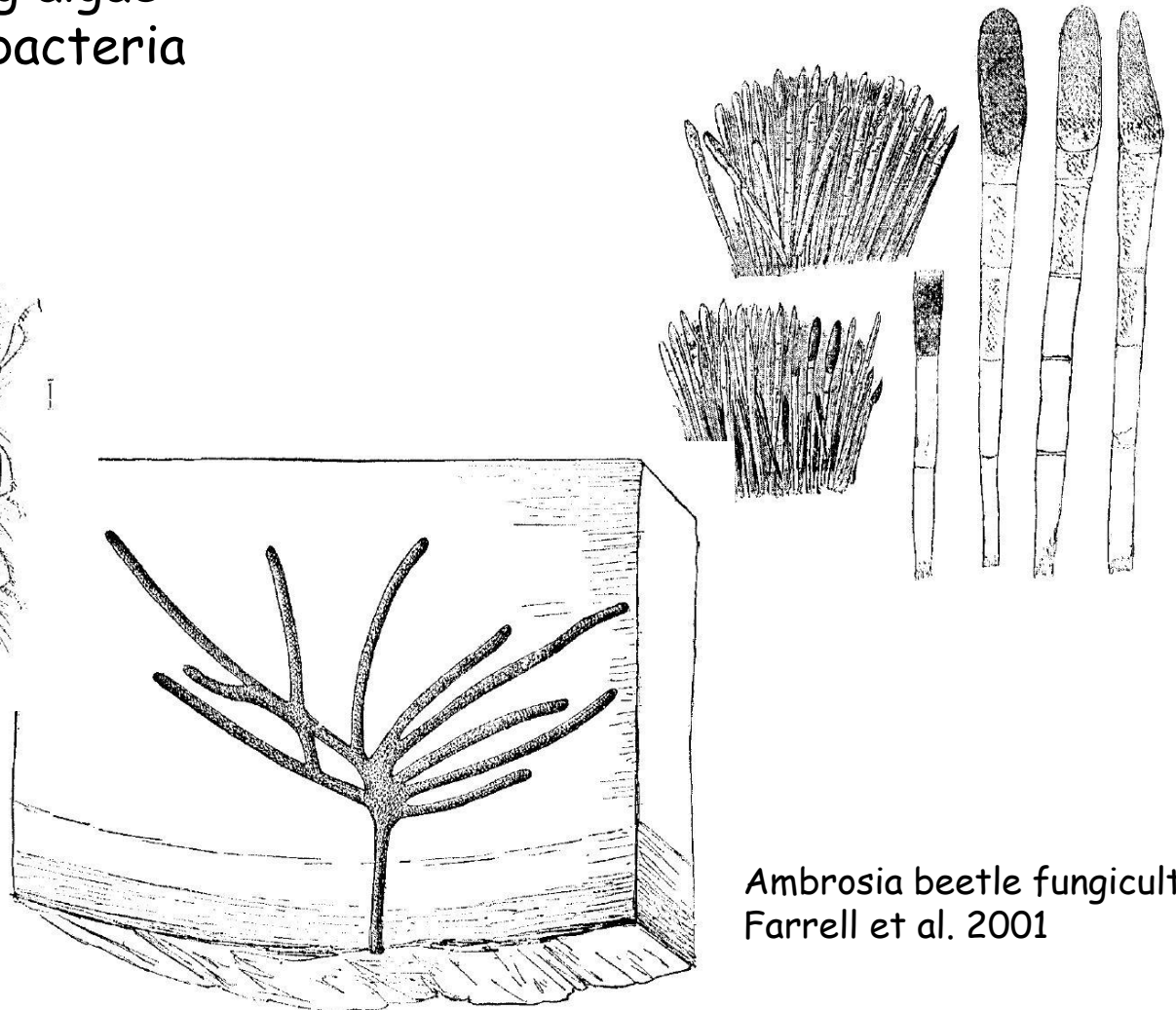
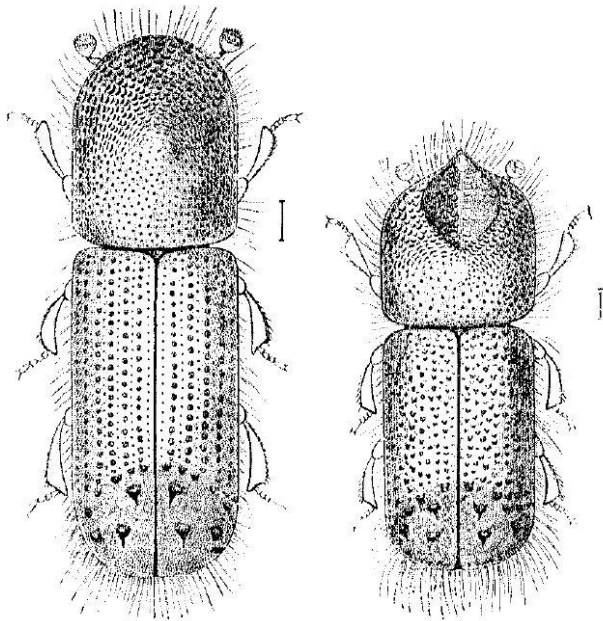
Guillaume Chomicki\* and Susanne S. Renner



The one species of ant forms large monocultures of *Squamellaria* plant siblings - a feature common to most farming mutualisms.

# Non-Human Agriculture

- ants, termites, ambrosia beetles and snails farming fungi
- damselfish farming algae
- amoebae farming bacteria



Ambrosia beetle fungiculture  
Farrell et al. 2001

# Agricultural behaviour of farming ants, termites, ambrosia beetles and humans

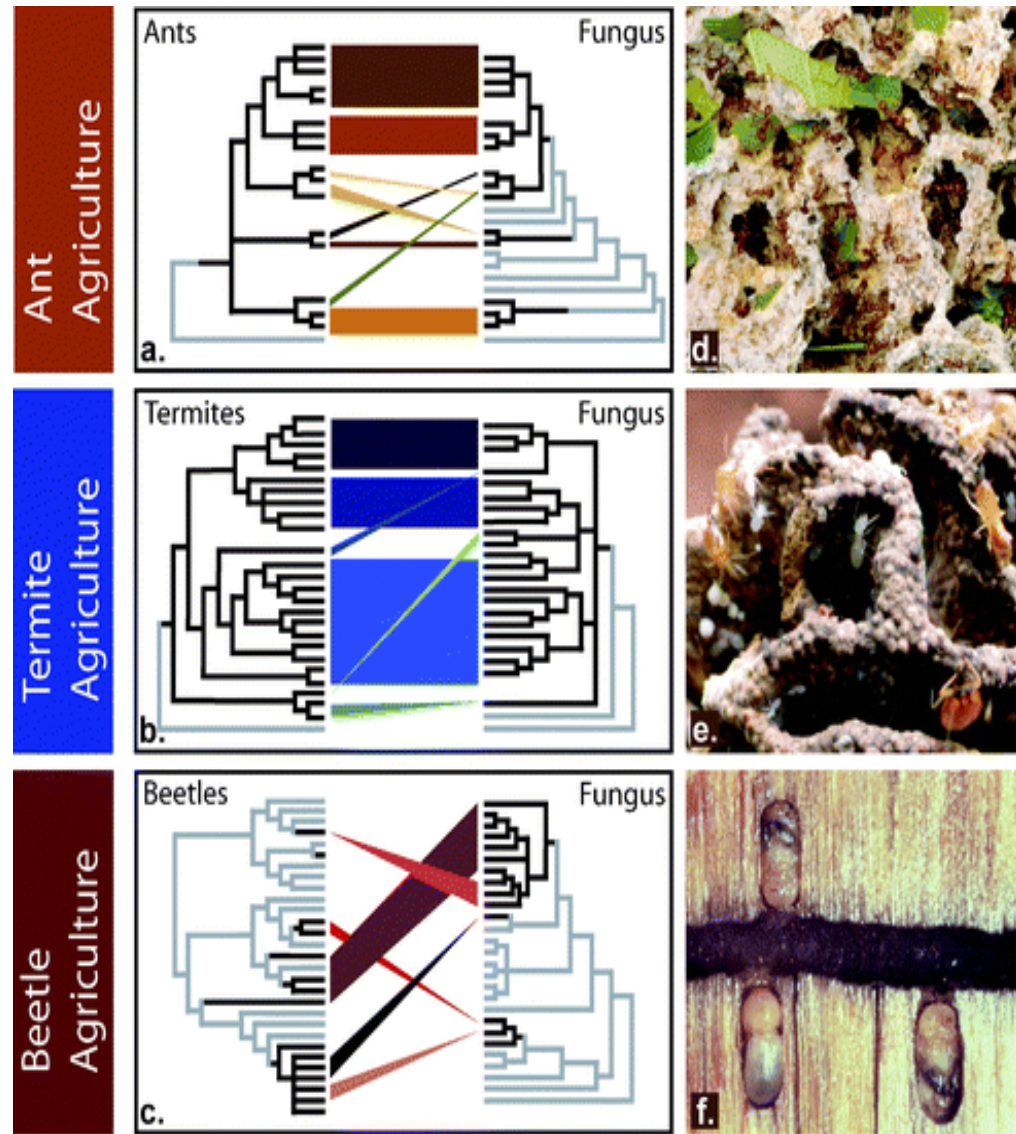
From Mueller et al. (2005)

<u>Agricultural behaviour</u>	<u>Ants</u>	<u>Termites</u>	<u>Ambrosia beetles</u>	<u>Humans</u>
<b>Nutritional dependency</b> on crop for food	Obligate	Obligate	Obligate	<b>Facultative</b>
Engineering optimal growth conditions	YES	YES	YES	YES
<b>Planting</b> crop on improved substrate	YES	YES	YES	YES
Intensive continuous monitoring of growth and disease status of crop - <b>cultivation</b>	YES	YES	YES	<b>NO</b>
Sustainable <b>harvesting</b> of crop for food	YES	YES	YES	YES
Protection of crop from diseases and consumers	YES	YES	YES	YES
Weeding of alien organisms invading the garden	YES	YES	???	YES
Use of chemical herbicides to combat pests	YES	???	???	YES
Use of microbial symbionts for nutrient procurement for crop	YES	???	???	YES
Use of disease-suppressant microbes for biological pest control	YES	???	???	NO
Sociality	Strictly social	Strictly social	Subsocial /communal	Social
Task partitioning in agricultural processes	YES	???	YES	YES
Artificial selection for crop improvement	???	???	???	<b>YES</b>
Conscious learning and cultural transmission of agricultural innovations	NO	NO	NO	<b>YES</b>



# Phylogenetic origins of insect agriculture

- Ants - single origin 45-65 Mya in the Amazon
- Termites - single origin 24-34 Mya in Africa
- Ambrosia beetles - 7 independent origins 20-60 Mya
- Termites & beetles - single specific cultivar clade to which descendants have adhered
- Ants - multiple independently domesticated cultivar lineages
- No known cases of reversals from agricultural to non-agricultural life in any of the 9 agricultural insect lineages
- cf. humans with 10-?13 independent origins, all within last 10,000 years



Mueller UG, et al. 2005.  
Annu. Rev. Ecol. Evol. Syst. 36:563-95

# Non-Human Agriculture

## Farming mutualisms



An organism promotes the growth of another on which it depends for food

- Dispersal - an essential first step in farming mutualism
- Cultivation that improves the growth conditions before crop maturity

## Examples

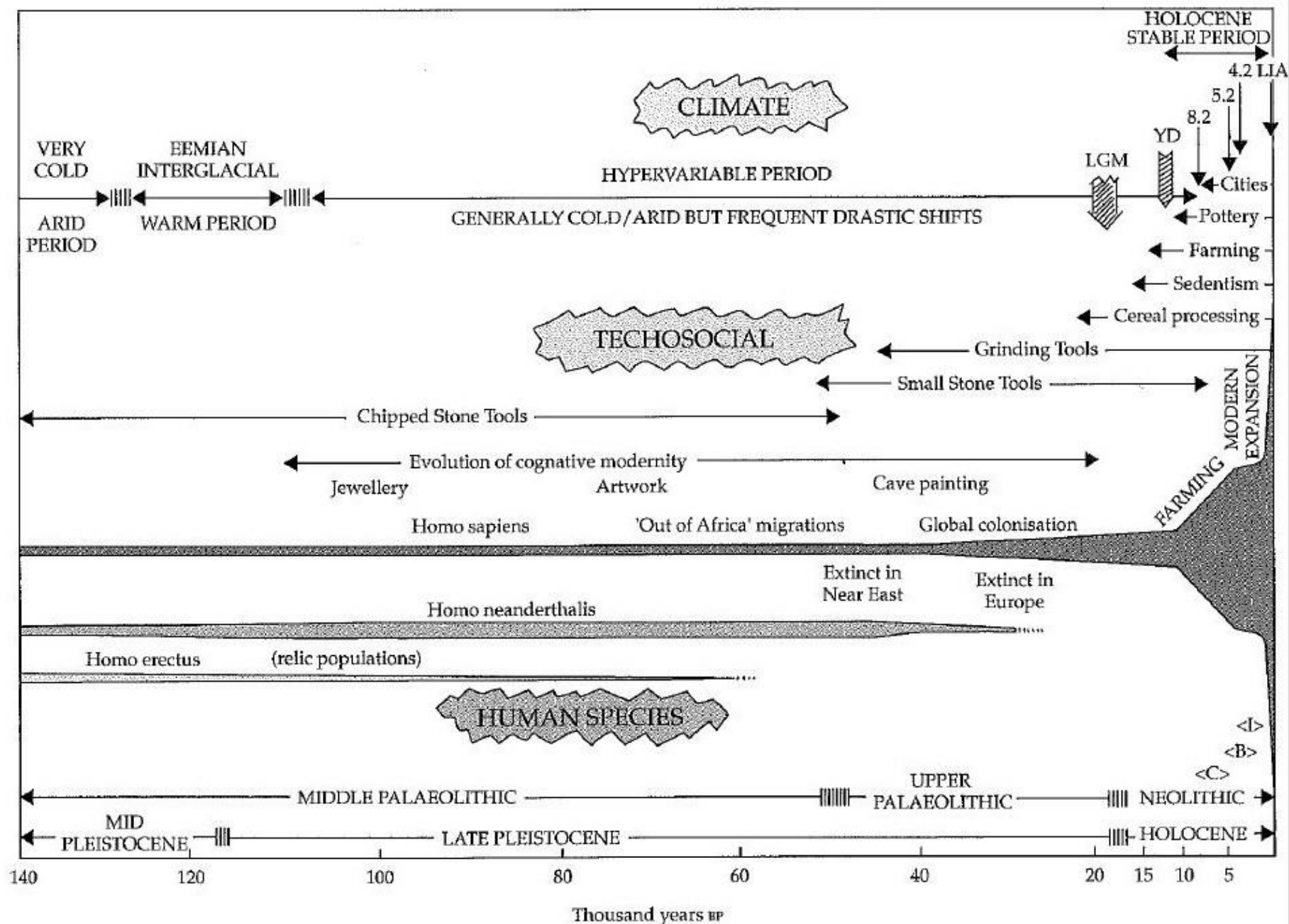
- ants, termites, ambrosia beetles and snails farming fungi
- ants farming epiphytic plants
- damselfish and sloths farming algae
- amoebae and crabs farming bacteria

An even broader comparative framework to investigate:

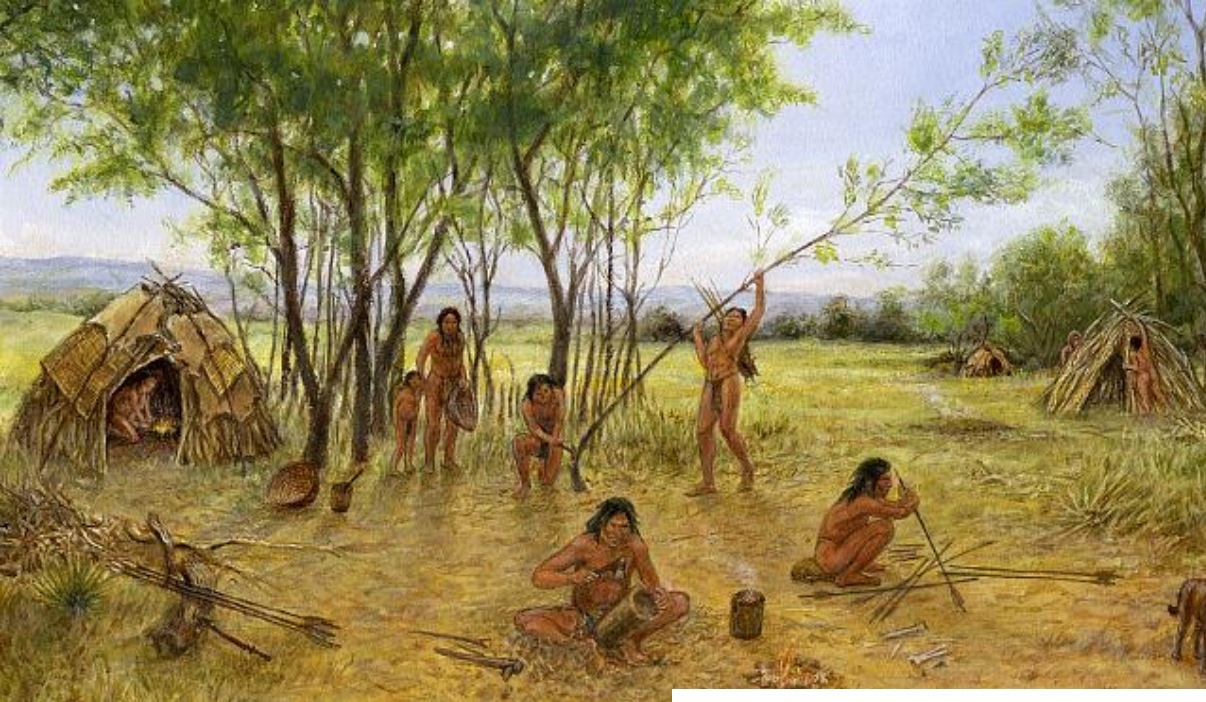
- what common ground there might be between fungus-growing ants, wheat-growing humans and algae farming damsel fish?
- what insights we might gain into why agriculture arose by adopting this much broader evolutionary perspective on agriculture?
- what lessons non-human agriculture might have for modern agriculture, for example to improve disease and pest control strategies?



# The evolution of modern humans and human societies







## Foraging to Farming





## Causes of Domestication Questions ??

- Why did humans become farmers? What were the factors and circumstances that prompted the shift from hunting and gathering to farming?
- Why more or less simultaneous (or apparently simultaneous) origin of agriculture independently in different places? Is there a global explanation?
- Why did agriculture arise in these areas and not others?
- Why then but not earlier?
- Why do these areas not overlap more significantly with areas of most productive modern agriculture?
- Why did domestication involve so few species? - 350,000 flowering plant species, but only c.100 - max 200 important domesticated crops?

# Origins of Agriculture - established paradigm vs new evidence

- Early dogma that agriculture arose just a few times in parallel
- Rapid - the Neolithic revolution
- Largely as a result of unintended consequences that allowed revolutionary changes in the economy
- Idea of rapid change lent itself to identification of single big universal causes of agricultural origins





Fig. 2-2. Centers and noncenters of agricultural origins: (A1), Near East center, (A2), African noncenter, (B1), North Chinese center, (B2), Southeast Asian and South Pacific noncenter, (C1) Mesoamerican center, and (C2) South American noncenter (from Harlan, 1971; copyright © 1971 by the American Association for the Advancement of Science).

3 independent centres & 3 secondary centres - Harlan (1971)

# Vavilov' Centres of Crop Domestication

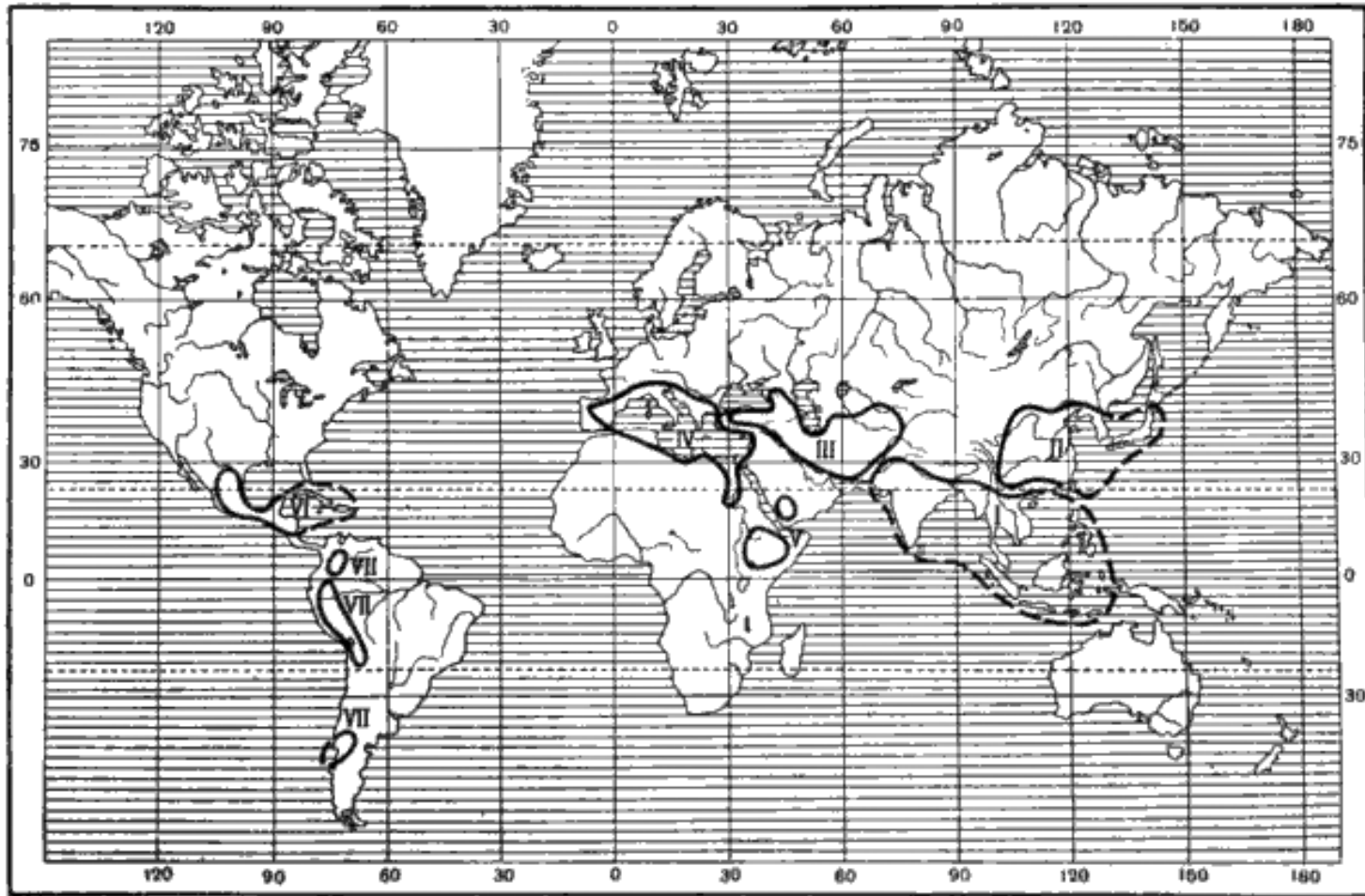
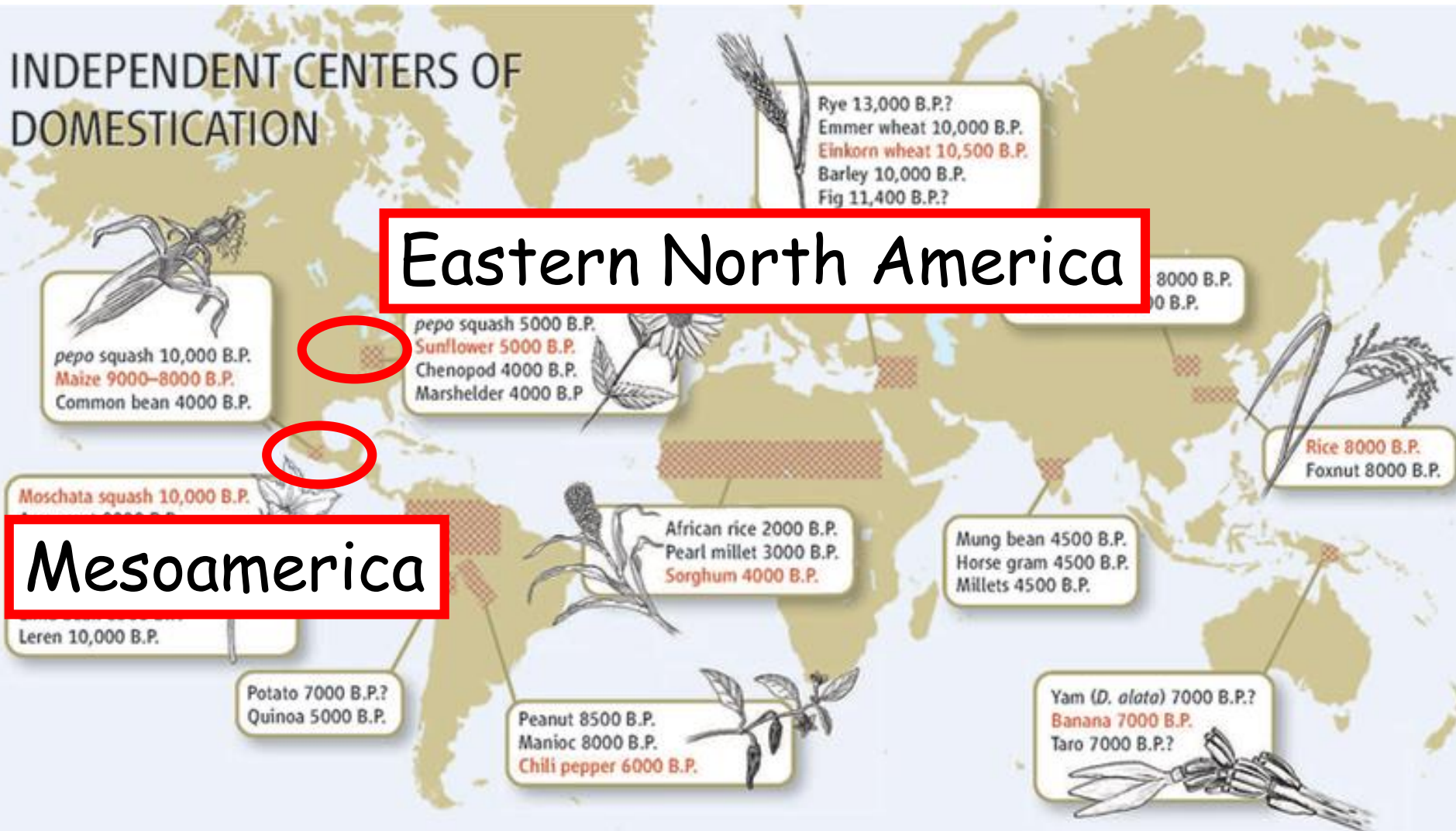


Fig. 1. Center of origin of cultivated plants. I. The tropical south-Asiatic center; II. the east-Asiatic center; III. the southwestern-Asiatic center; IV. the Mediterranean center; V. the Abyssinian center; VI. the Central American center; and VII. The Andean (South American) center.

Independent origins of domestication - 3 Harlan (1971); 6-8 in 1990s; at most 9 (Diamond 2002)



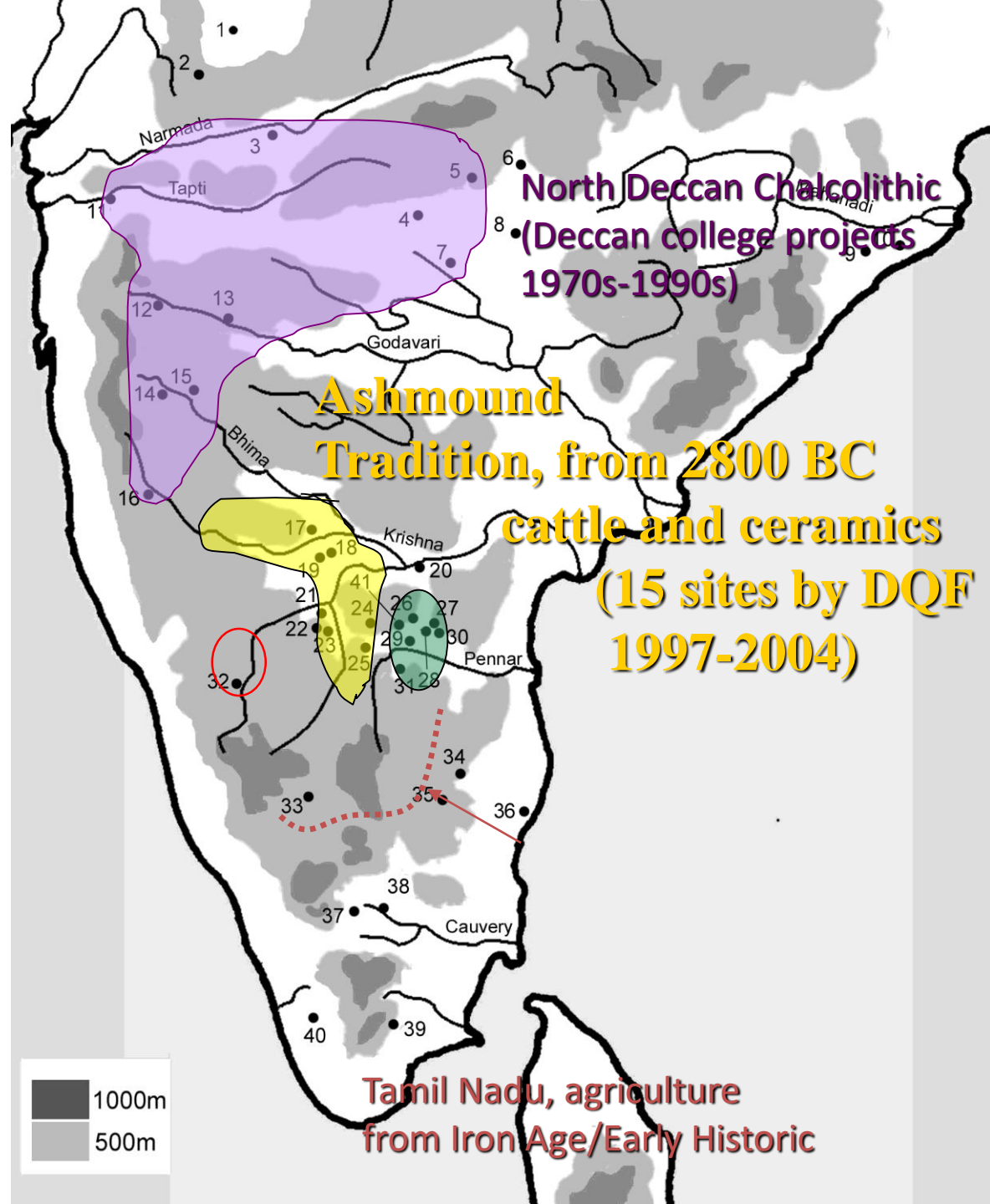
# One independent origin of agriculture or two?



# Archaeobotany in India (from 1997)



flotation



# Primary Cultivation: Staple foods in India

Recurrent food plants  
dominate seed  
assemblages:

Cultivation based on  
Peninsular domestication

Native South Indian suite

*Setaria verticillata*

Bristley foxtail millet

*Brachiaria ramosa*

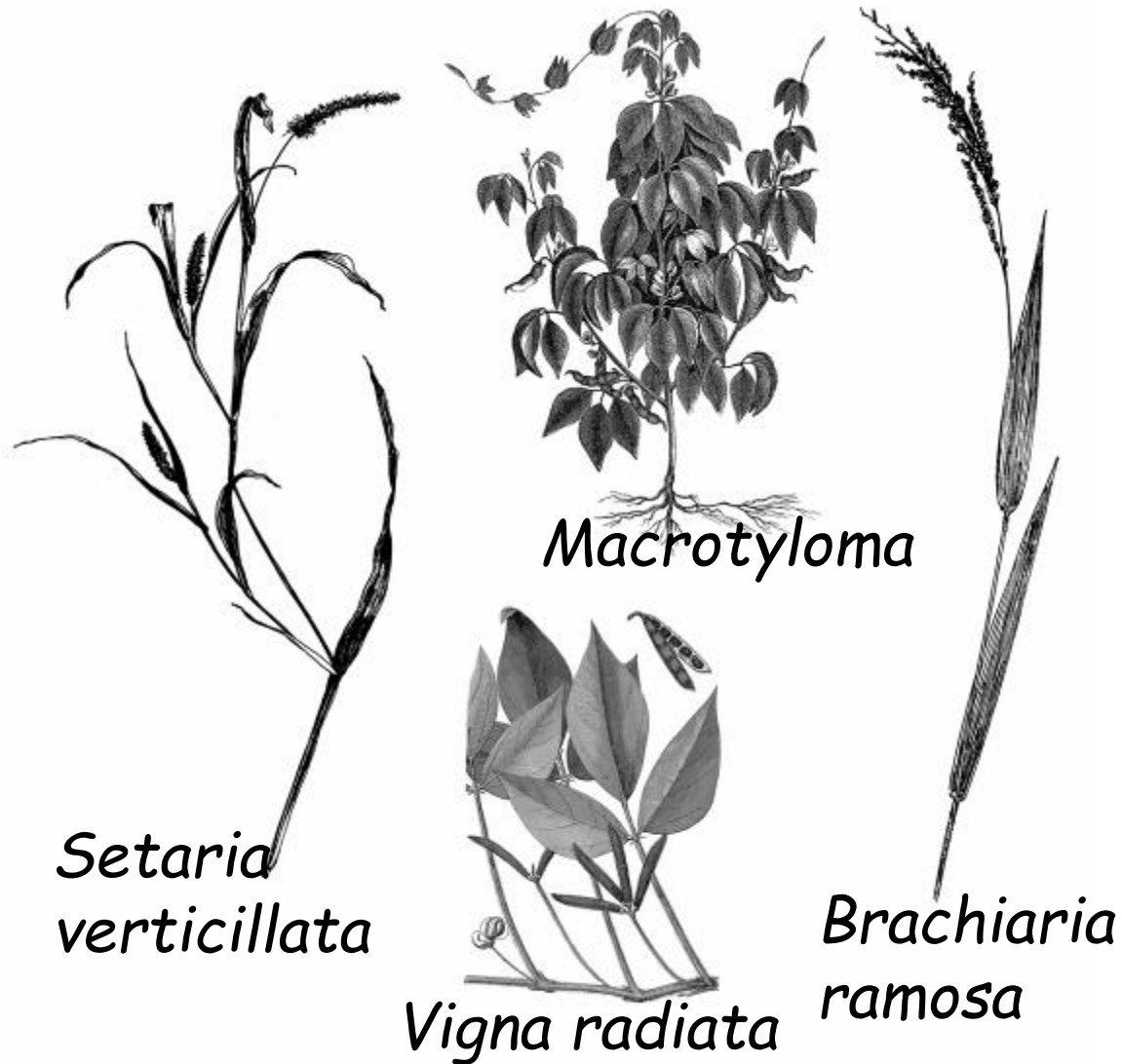
Browntop millet

*Macrotyloma uniflorum*

horsegram

*Vigna radiata*

mungbean



*Setaria  
verticillata*

*Macrotyloma*

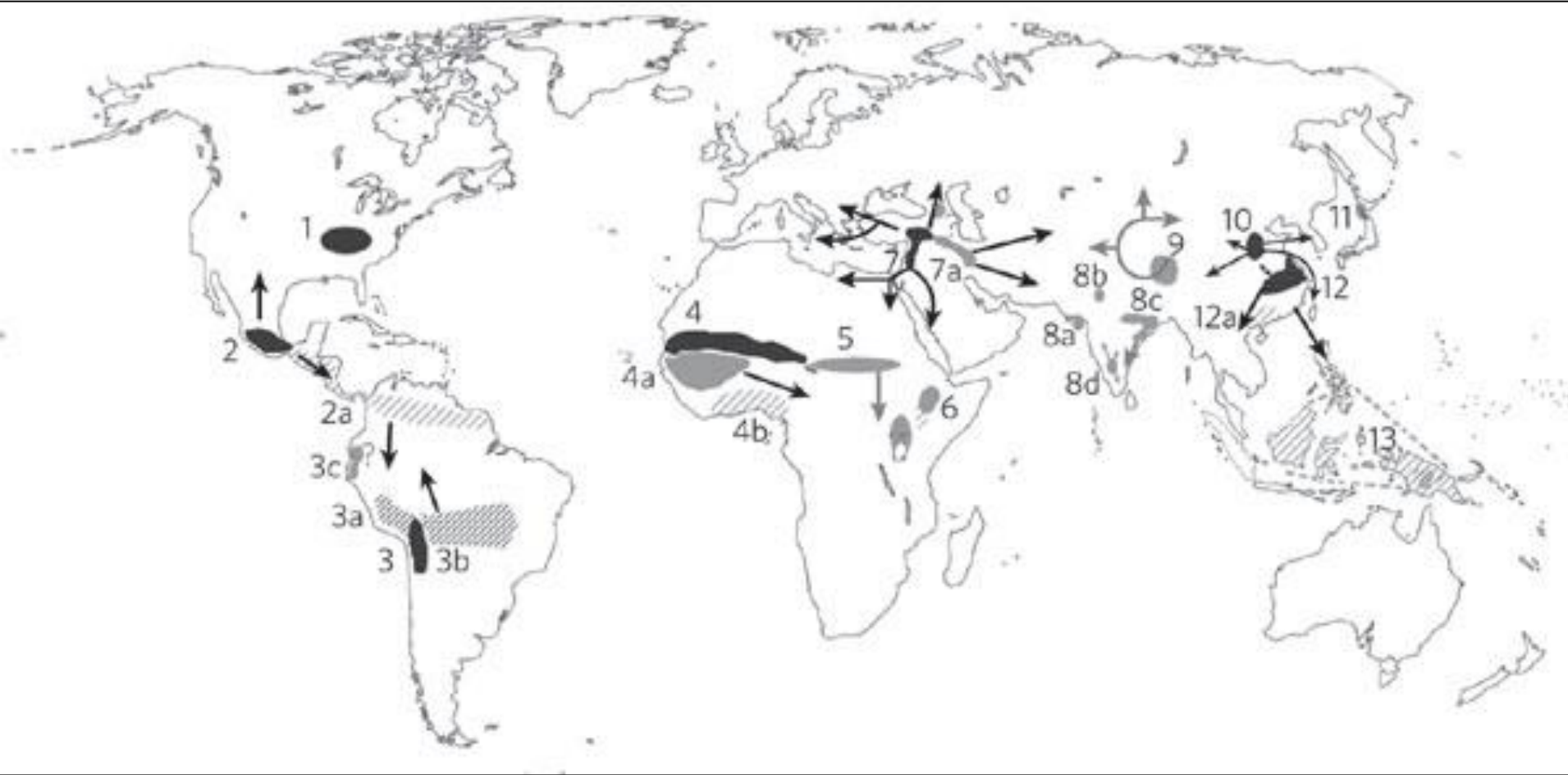
*Vigna radiata*

*Brachiaria  
ramosa*

Archaeological evidence for transition from foraging still elusive

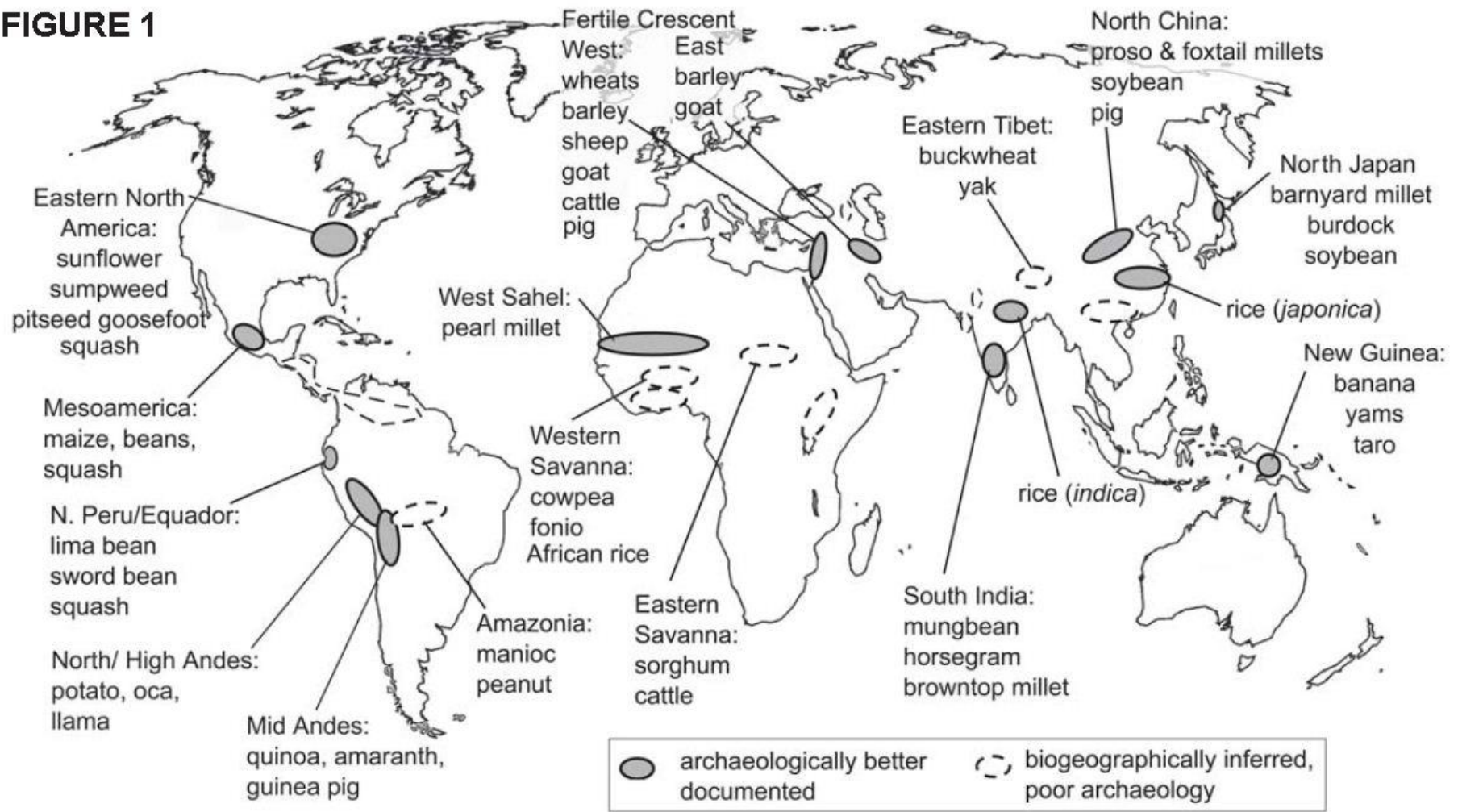


# 13 Independent Origins of Agriculture



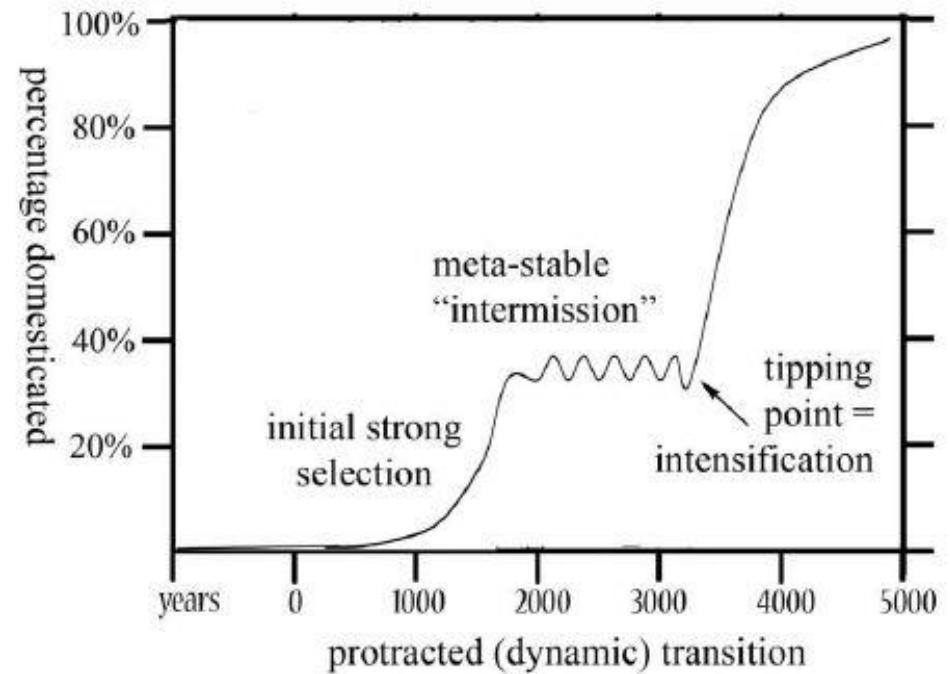
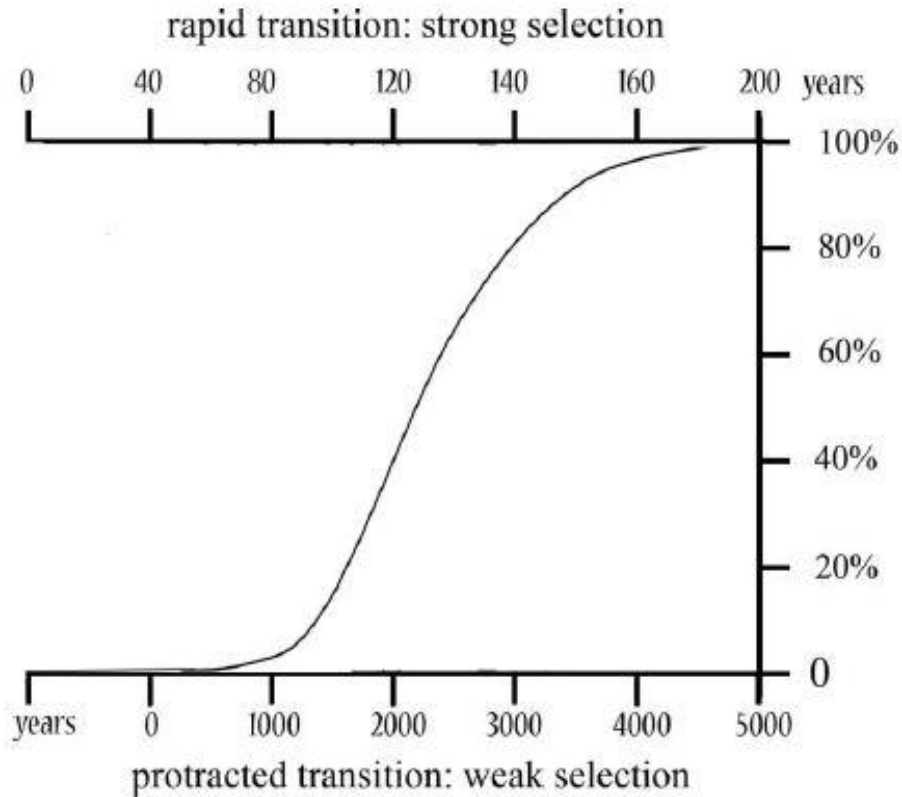
(Purugganan & Fuller, 2009, Nature)

**FIGURE 1**



At least 13 (and up to 24) independent origins of agriculture  
 - possible additions in Central America, the Amazon, west Africa, India, & eastern Tibet - areas not yet subject to systematic archaeology and archaeobotany

# Revolution vs evolution





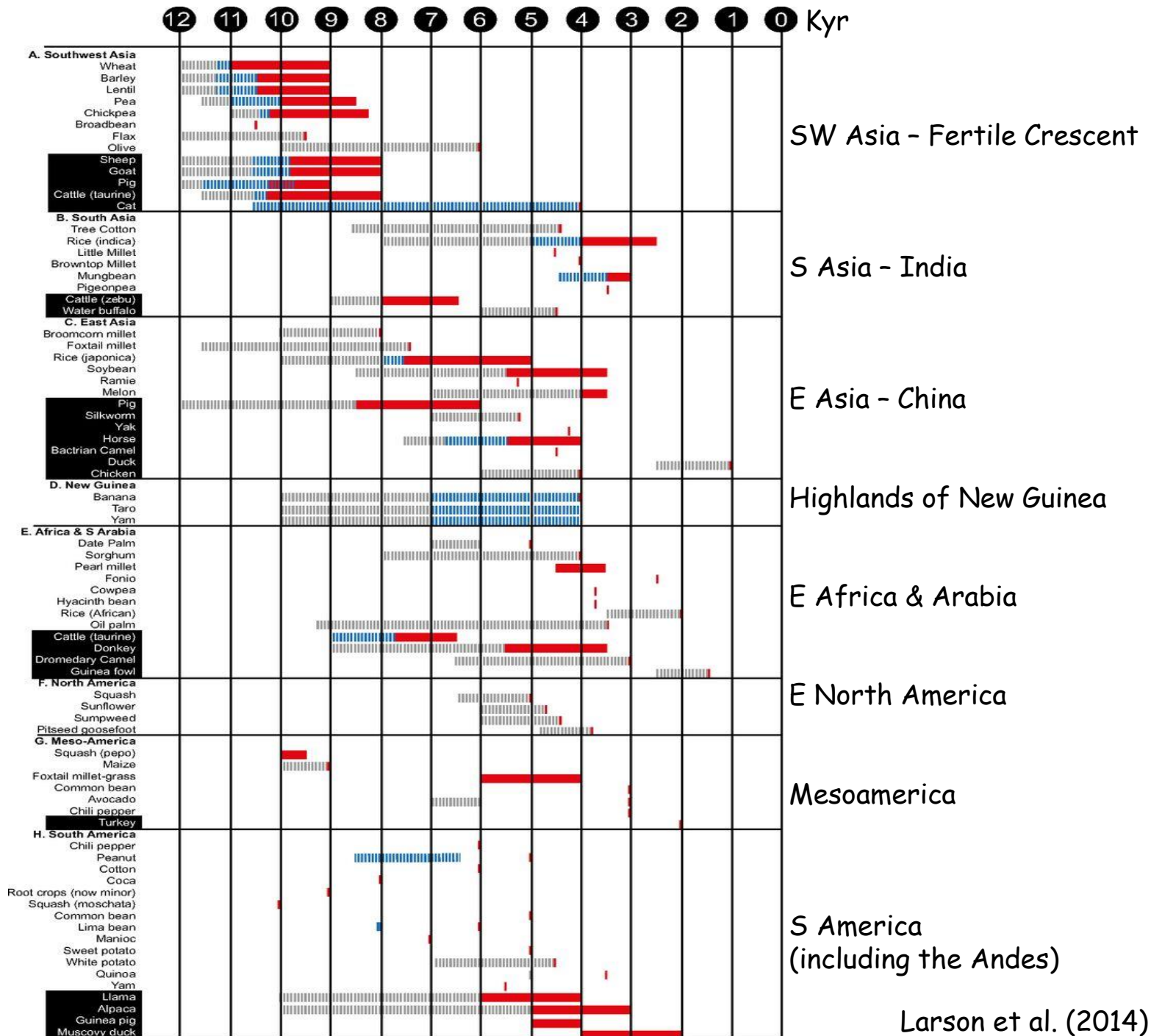
# Revolution vs evolution

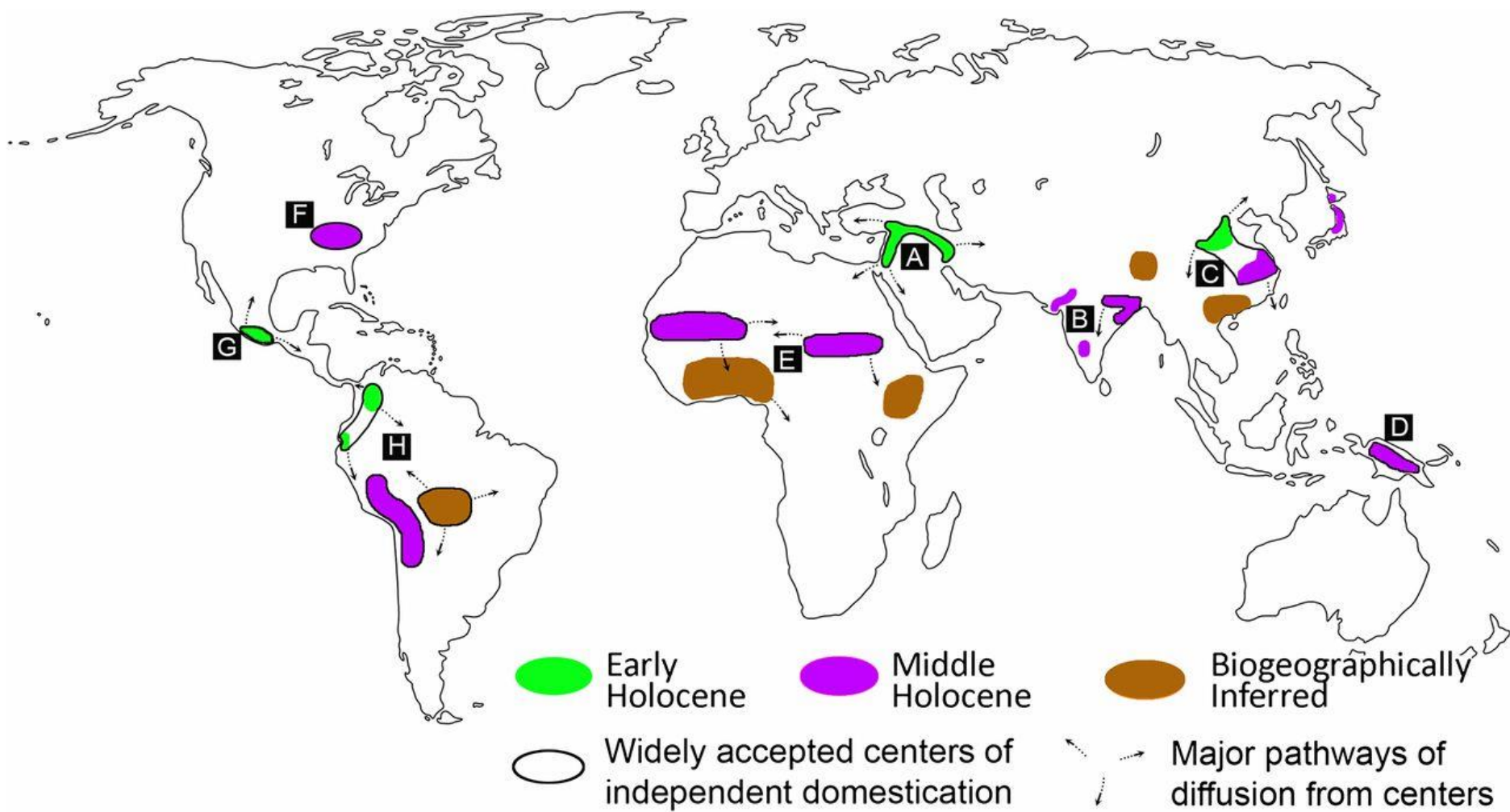
- Domestication was a protracted episode (2000-3000 years, ~100-150 human generation) of directional evolution in crops.
- No different from evolution by natural selection
- Subsequent evolution (varietal diversification) was more local. - transition to dependence on farming at least as slow
- This increased role of introgression in adaptiveness

Grey:  
Exploitation  
before  
domestication

Blue:  
Pre-  
domestication  
cultivation

Red:  
Period of  
phenotypic  
modification  
associated with  
domestication





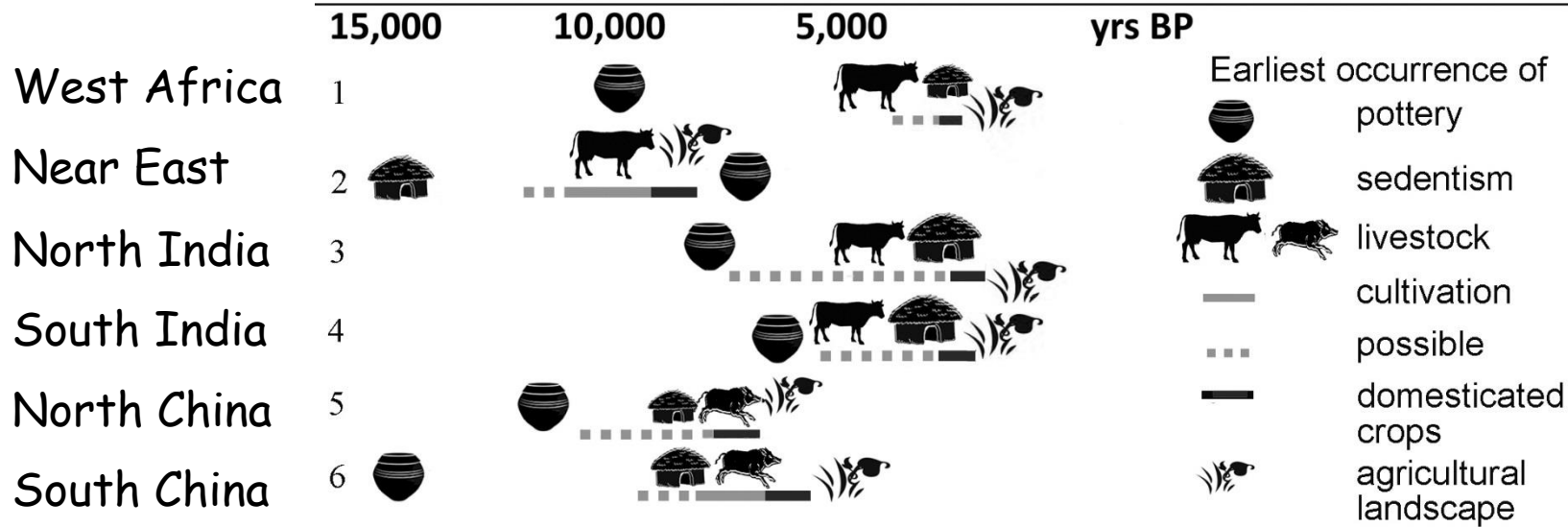
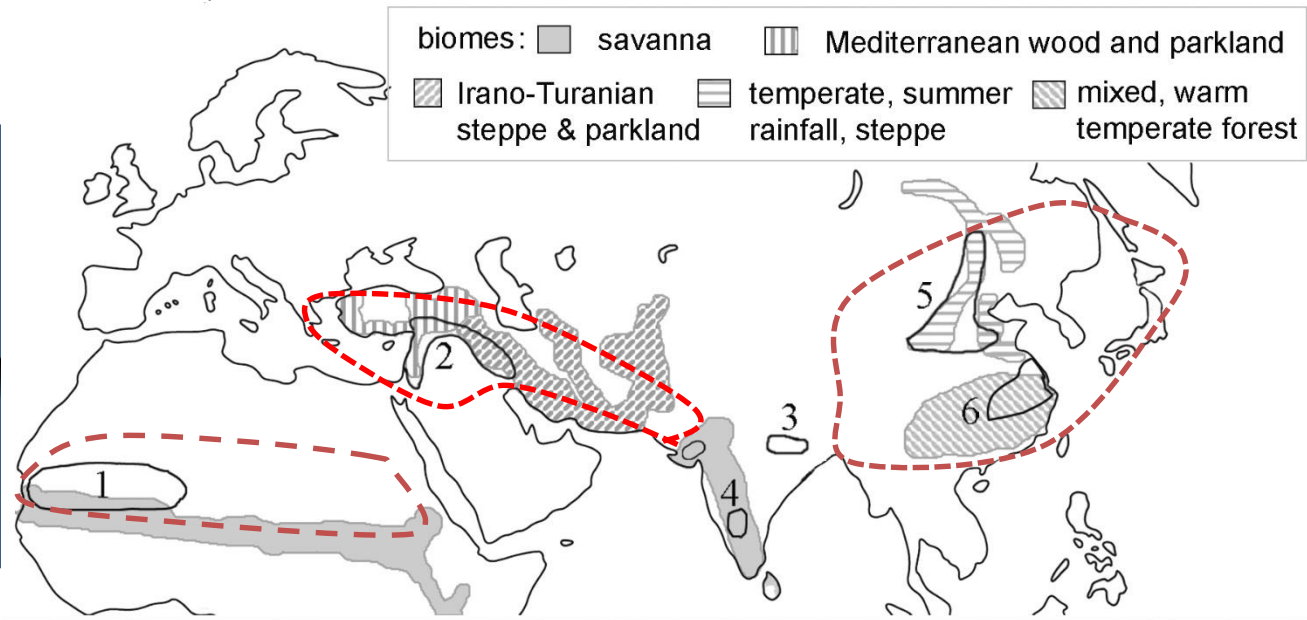


# Non-Synchronicity but similar rates? Same Cause?

## - Comparing Pathways to agriculture

Aceramic agriculture

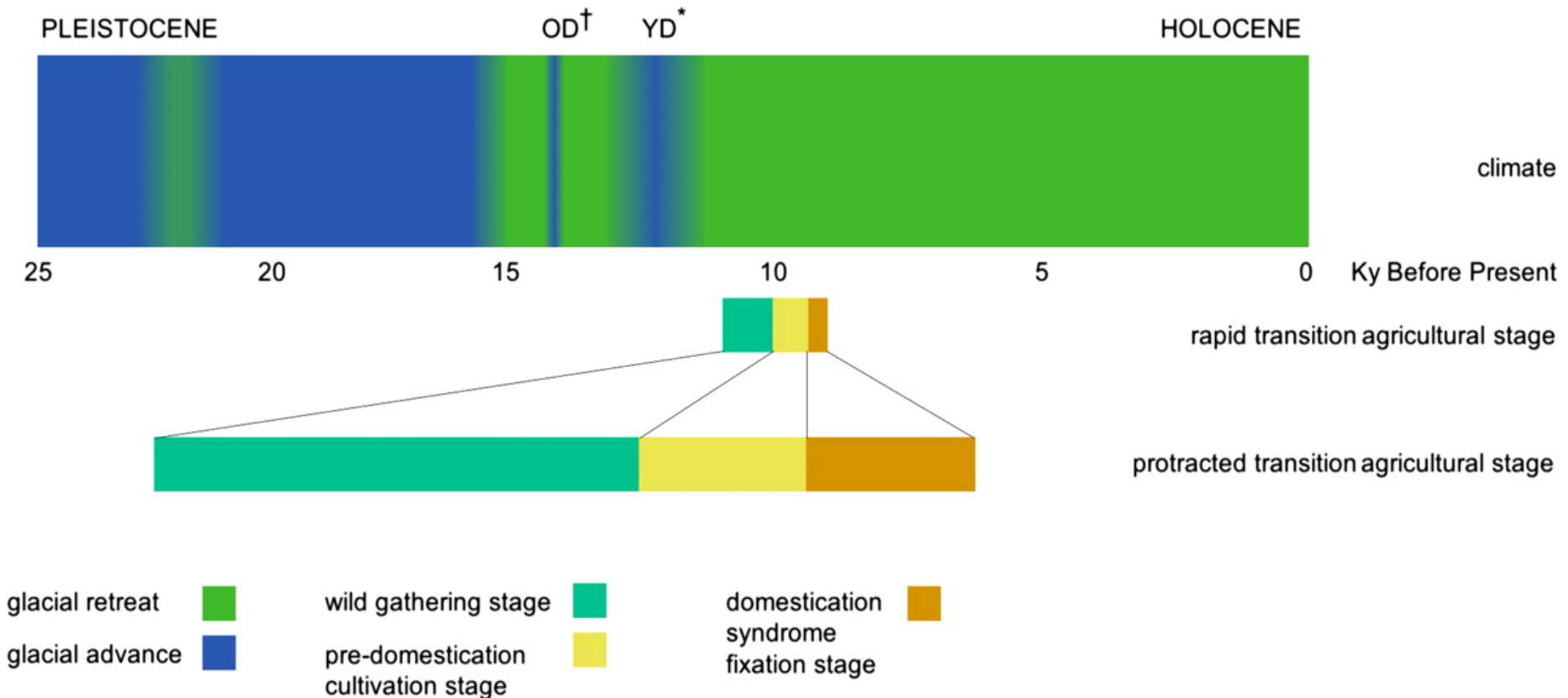
Preagricultural pottery



# Explanations & Theories

- Universal vs regional explanations
- Single cause vs multiple causes, and combinations of pre-conditions and contributing factors
- Natural causes external to human societies vs cultural changes within hunter-gatherer societies. Did agriculture arise out of need or opportunity?
- Climate / environmental change as a trigger for domestication - the oasis theory
- Population pressure as a trigger for domestication
- Changes in social organization. Emergence of sedentary hunter-gatherers with social hierarchy and competitive feasting as an alternative cause

# Climate change as a driver for the origins of agriculture?

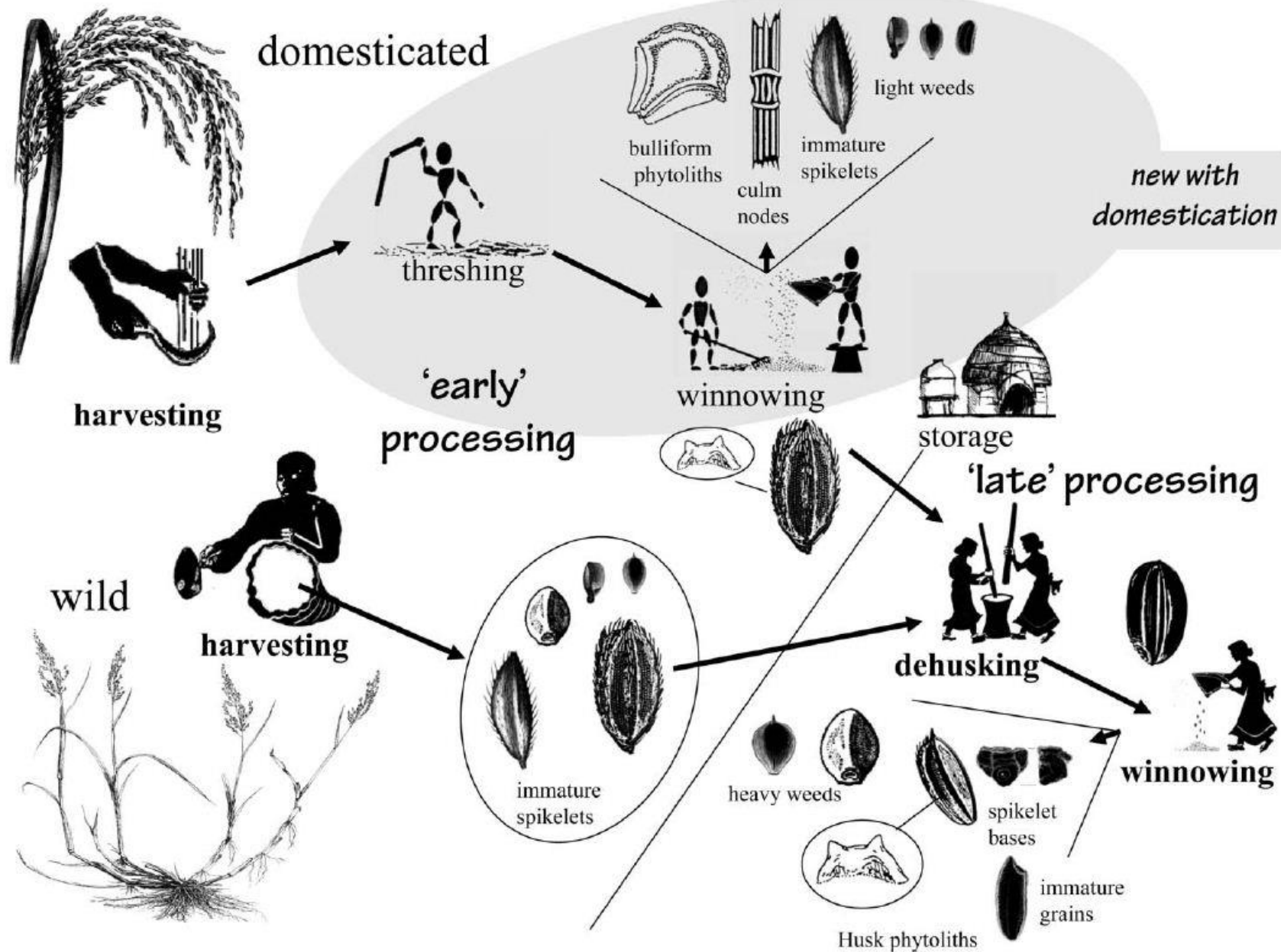


OD = Older Dryas; YD = Younger Dryas = cool, dry climatic episodes between 10,000 and 15,000 BP



## The implications of protracted gradual domestication transitions:

- Over the course of 3,000 years, social and environmental circumstances are likely to have changed
- Domestication would have involved extra work in e.g. soil preparation, threshing
- Need to dispense with the idea of an agricultural revolution
- Unlikely that transitions in different areas followed identical trajectories driven by common causes
- There may be little that is predictable about the relationship between early plant cultivation and animal husbandry - animals only important in some areas.
- Cultivation, animal management and labour demands were entangled in different ways



# Common Factors Contributing to the Emergence of Agriculture across Different Regions

- Familiarity with wild plants and animals - agriculture as the endpoint of a long period of use of the wild ancestors of domesticated crops and animals
- Harvesting, processing and storage technologies
- Sedentism - hunter-gatherers living in relatively large permanent settlements through most of the year
- High resource diversity & the importance of proximity to aquatic environments - farming arose as a supplement in areas where groups already have a diverse and rich diet
- Changes in climate & vegetation and steepening of the boundaries between rich habitats near to water and surrounding harsher and more marginal hunter-gatherer habitats
- Population density - pushing societies to increase food production
- Competition, ownership & social stratification - sedentary communities were larger and more complex than earlier hunter-gatherer societies, encouraging harvest surplus for ceremonial & celebratory occasions as well as lending in time of need
- Good potential domesticates - biogeographic luck

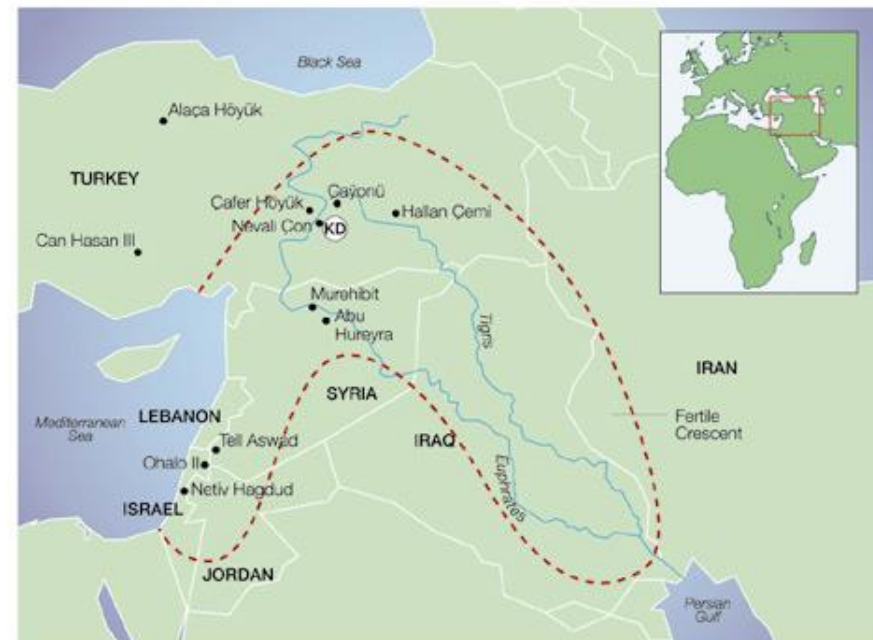




High resource diversity & the importance of aquatic environments

The Fertile Crescent

Tigris & Euphrates Rivers  
The Marsh Arabs



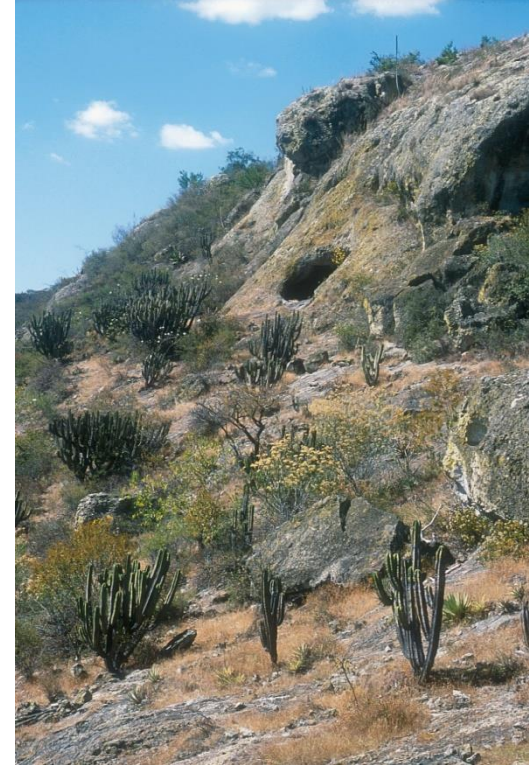




High resource  
diversity & the  
importance of  
aquatic environments

Mesoamerica

The Tehuacan Valley





# Lower Yangtze, China

High resource diversity & the importance of aquatic environments



Fox nut  
*Euryale ferox*

Acorns  
*Quercus* spp



Water chestnut  
*Trapa natans*



rice

& fish & water fowl



Fuller & Qin (2010)





High resource diversity & the importance of aquatic environments

The Andes - lush valleys in the coastal desert  
Lake Titicaca & Lake Junin in the Andes



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# Biogeographic luck and independent Centres of Agriculture & Livestock Domestication

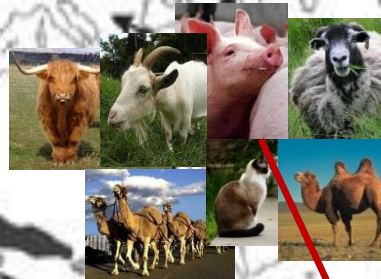
**Mesoamerica**  
• Turkey



**Andes**  
• Llama  
• Alpaca  
• Guinea pig



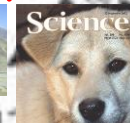
**Lapland**  
• Reindeer



**NE Africa**  
• Donkey



**Himalaya**  
• Yak



**SE Asia**  
• Dog  
• Chicken  
• Water buffalo



**Fertile Crescent / Near East**

- Cattle
- Sheep
- Pig
- Goat
- Horse
- Dromedary camel
- Bactrian camel
- Cat

Biogeographic luck - Why were so few wild species domesticated?

Comparisons of domesticated species (left) and their never-domesticated close relatives



Reindeer    Elk



Horse    Zebra



Cow    American buffalo



Sheep    North American  
Bighorn Sheep



Goat



Rocky Mountain goat

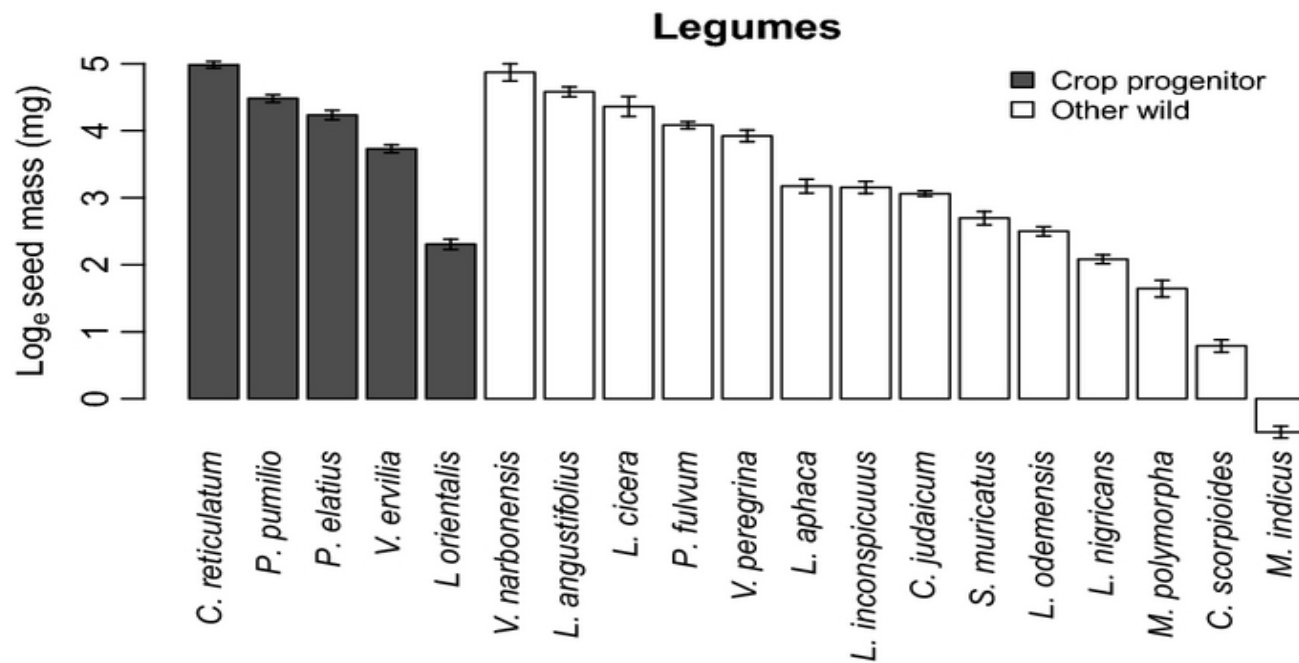
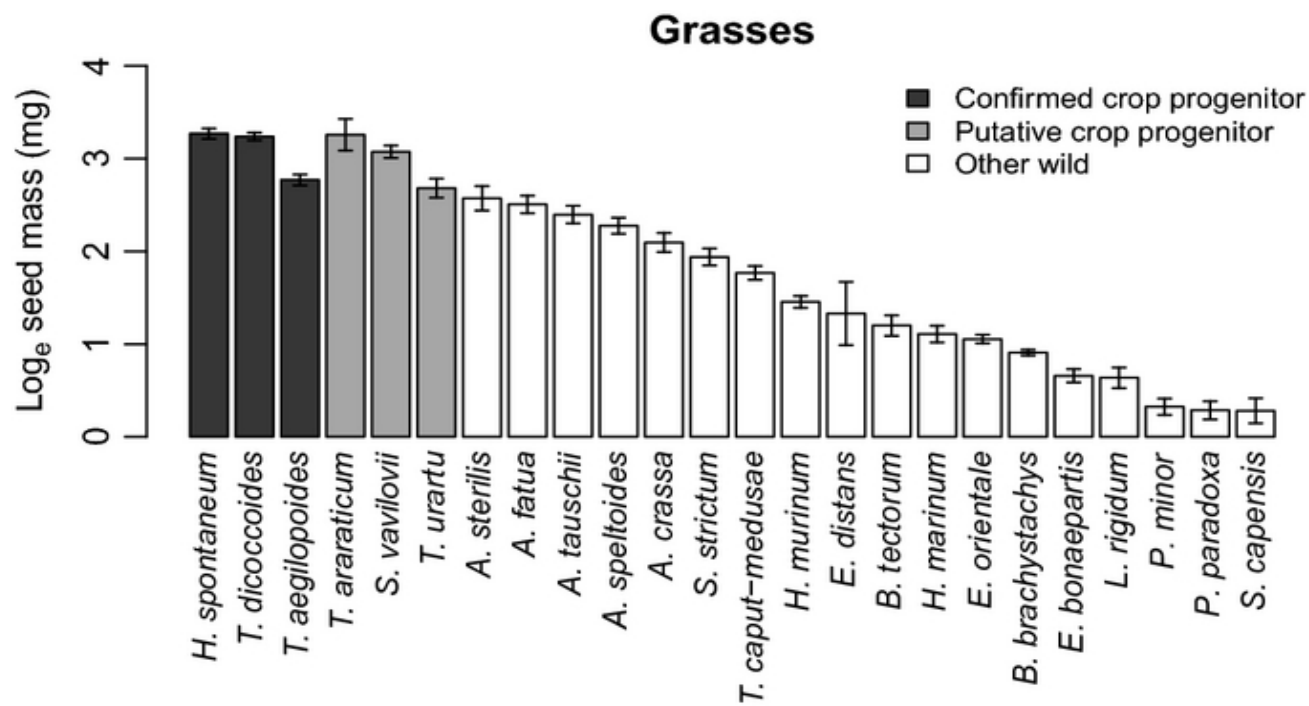


# Biogeographic Luck

## World Distribution of the 56 Heaviest-Seeded Grasses

Area	Number of species
West Asia, Europe, North Africa	33
Mediterranean zone	32
England	1
East Asia	6
Sub-Saharan Africa	4
Americas	11
North America	4
Mesoamerica	5
South America	2
Northern Australia	2
Total	56





# The Causes of Domestication - Conclusions

Slow, complex, a protracted and entangled process

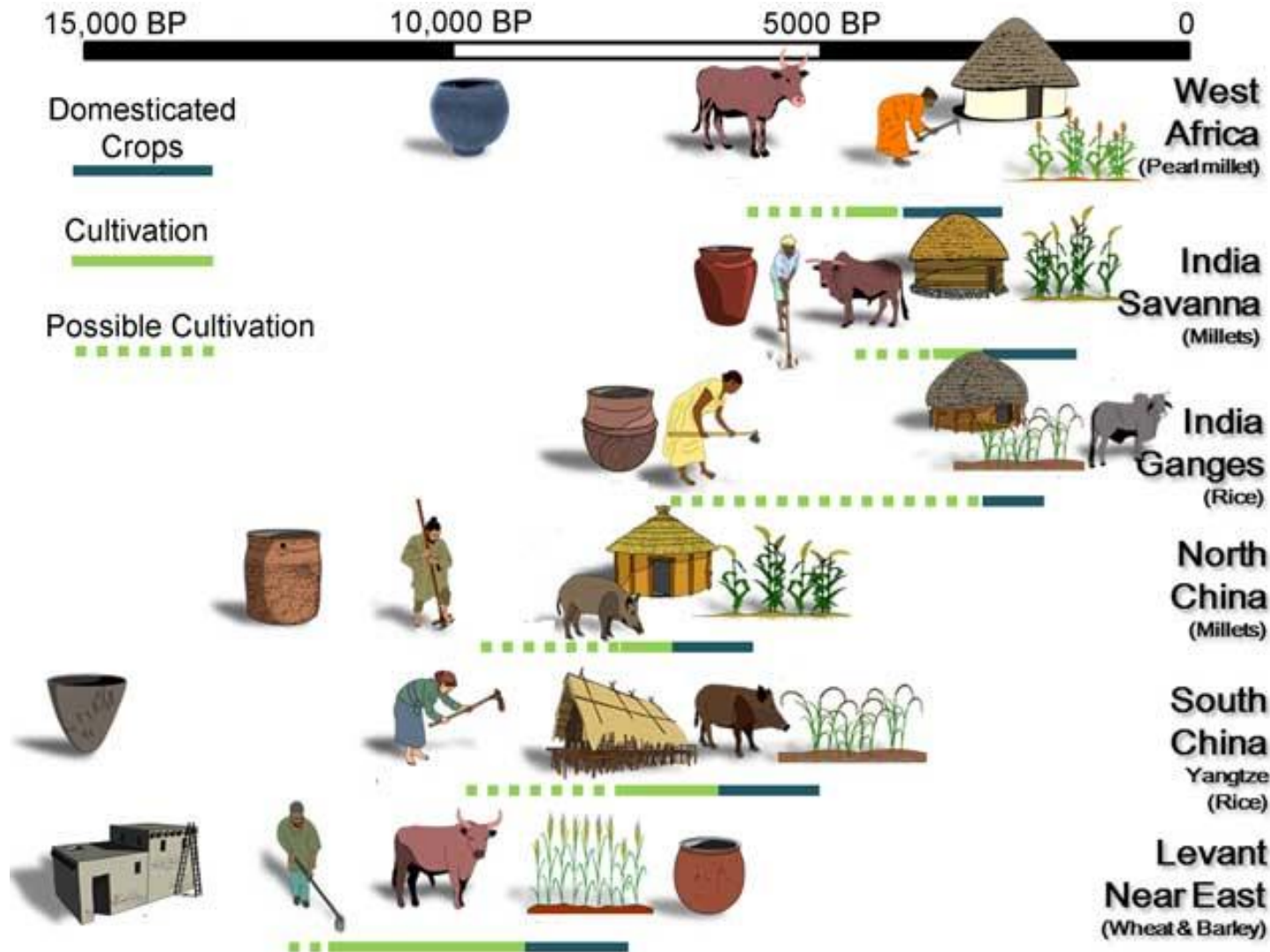
Conditions prompting agricultural origins probably involved both need and opportunity as contributory factors.

In all cases we see: hunter-gatherer communities living in lakeside or river valley habitats so rich in wild food resources that they could establish larger sedentary communities where early experiments in cultivation and domestication would have been possible and where well-watered soils ensured reliable harvests.

External triggers of climate change that accentuated the boundaries between these rich areas and surrounding hinterlands and pressures of growing human population are likely to have been important spurs prompting investigation and experimentation in ways to increase food resources, while intrinsic factors related to new opportunities for social integration in newly sedentary societies could also have played a key role.

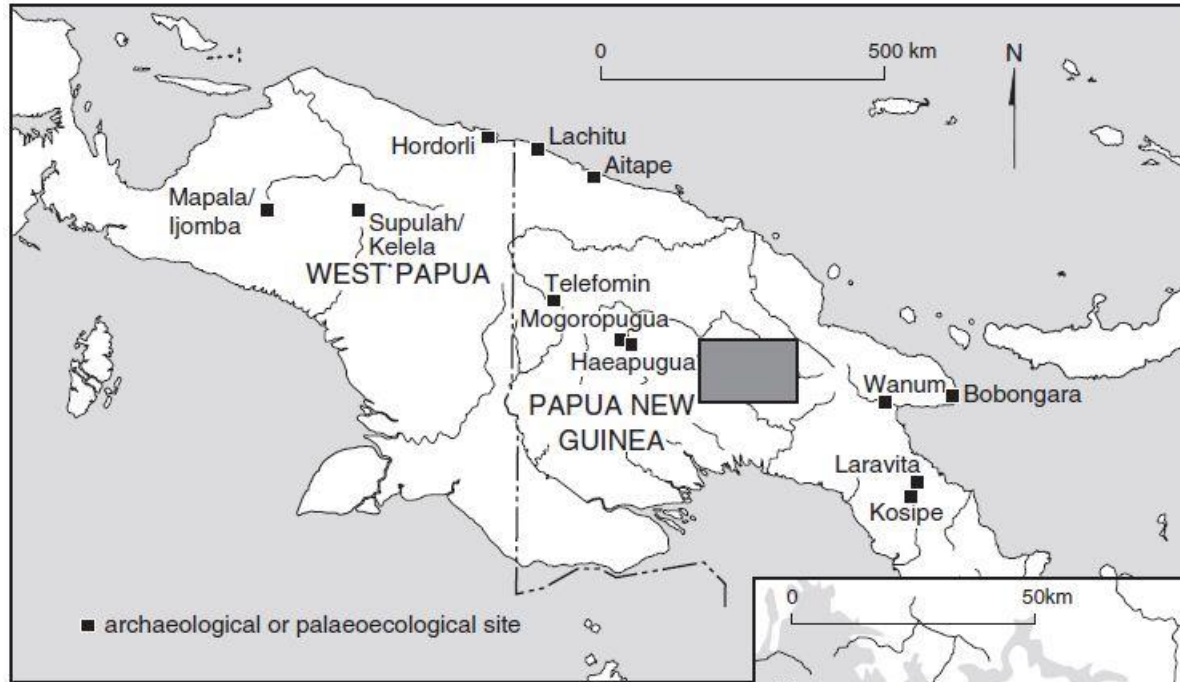
Once started, process was autocatalytic - a one-way ticket

# Comparing Pathways to Agriculture - ComPAg Project





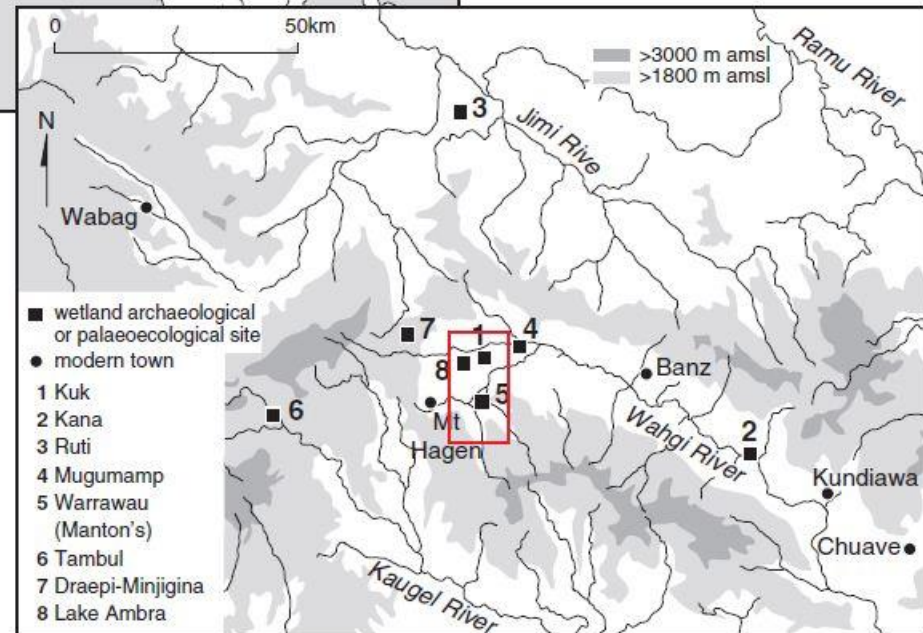
# Consequences of Domestication



Highlands of  
Papua New Guinea

Kuk Swamp in the  
River Wahgi Valley

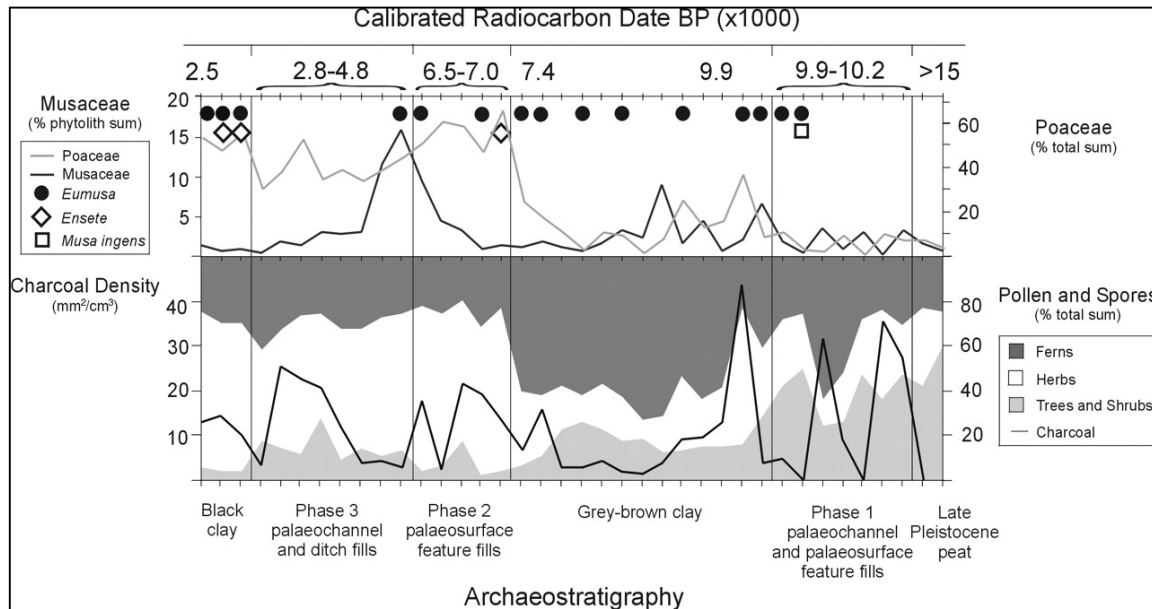
b)



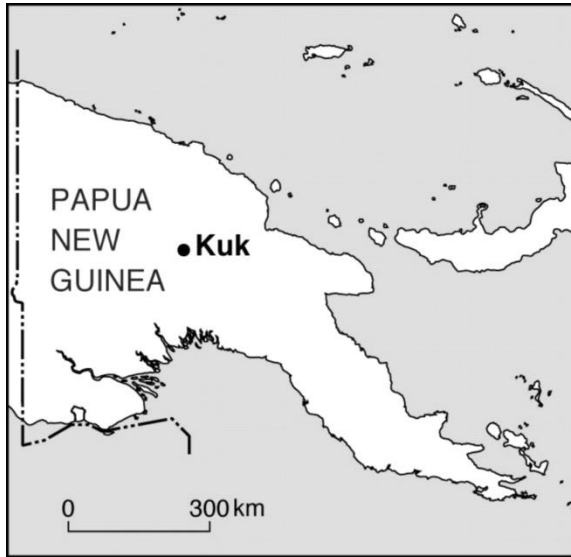




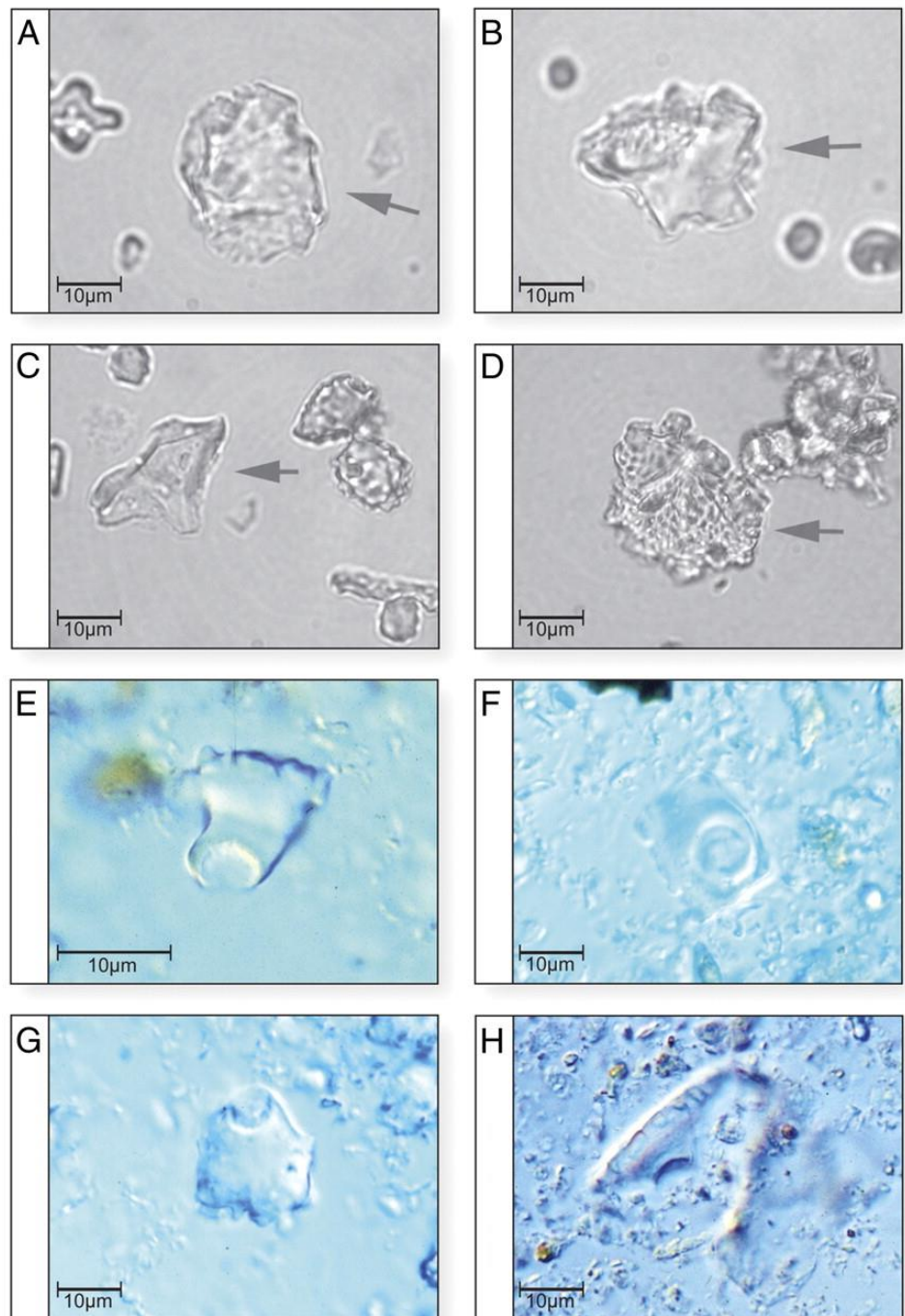
Kuk Swamp



# Banana domestication



Leaf phytoliths matching *M. acuminata* subsp. *banksii* from archaeological excavations at Kuk Swamp, demonstrate that bananas were in cultivation in New Guinea 6,950-6,440 BP.







- Taro
- *Colocasia esculenta*
- Araceae
- Edible starchy corm





# New Guinea

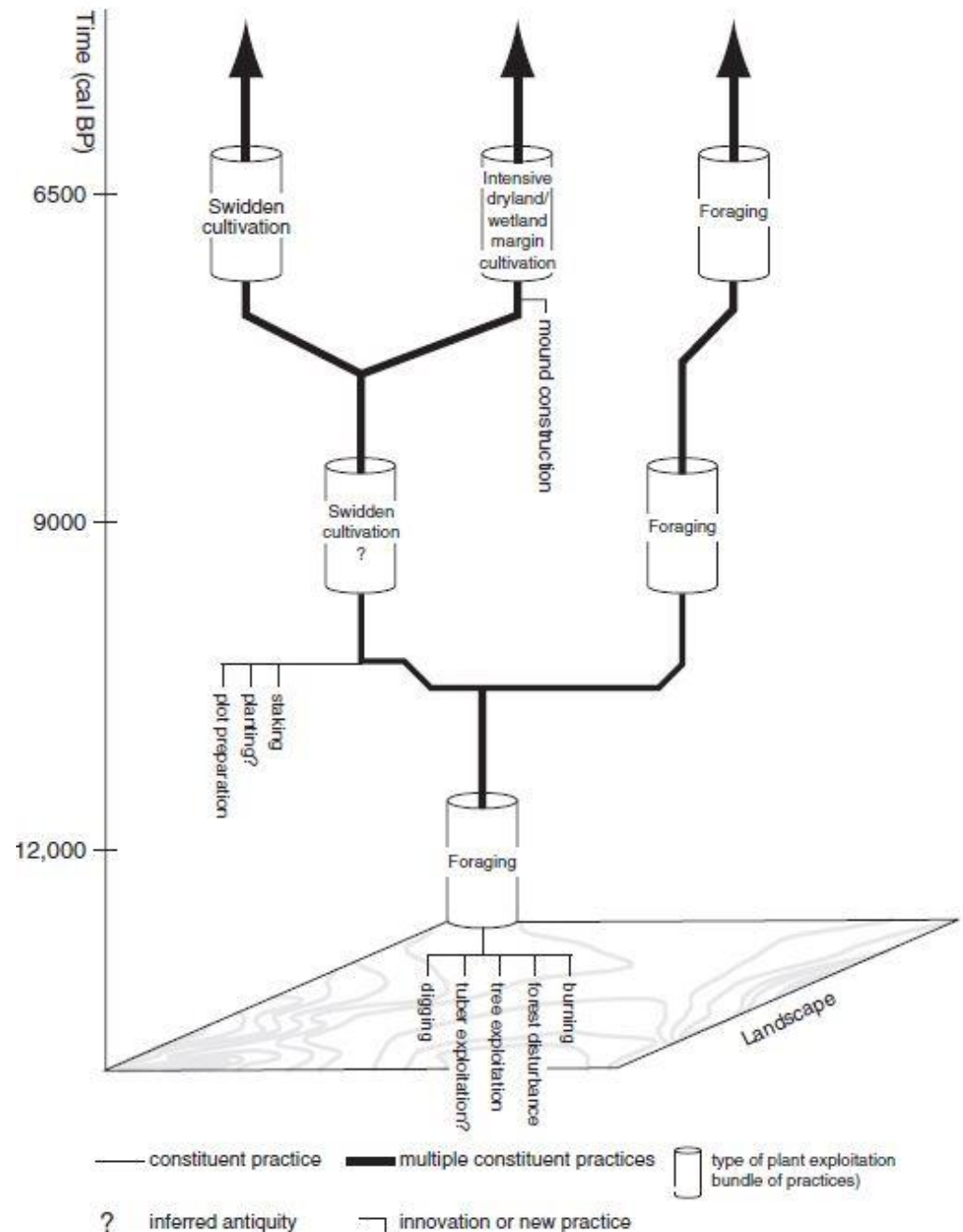
Lack of obvious protein crops -  
dependent on hunting meat

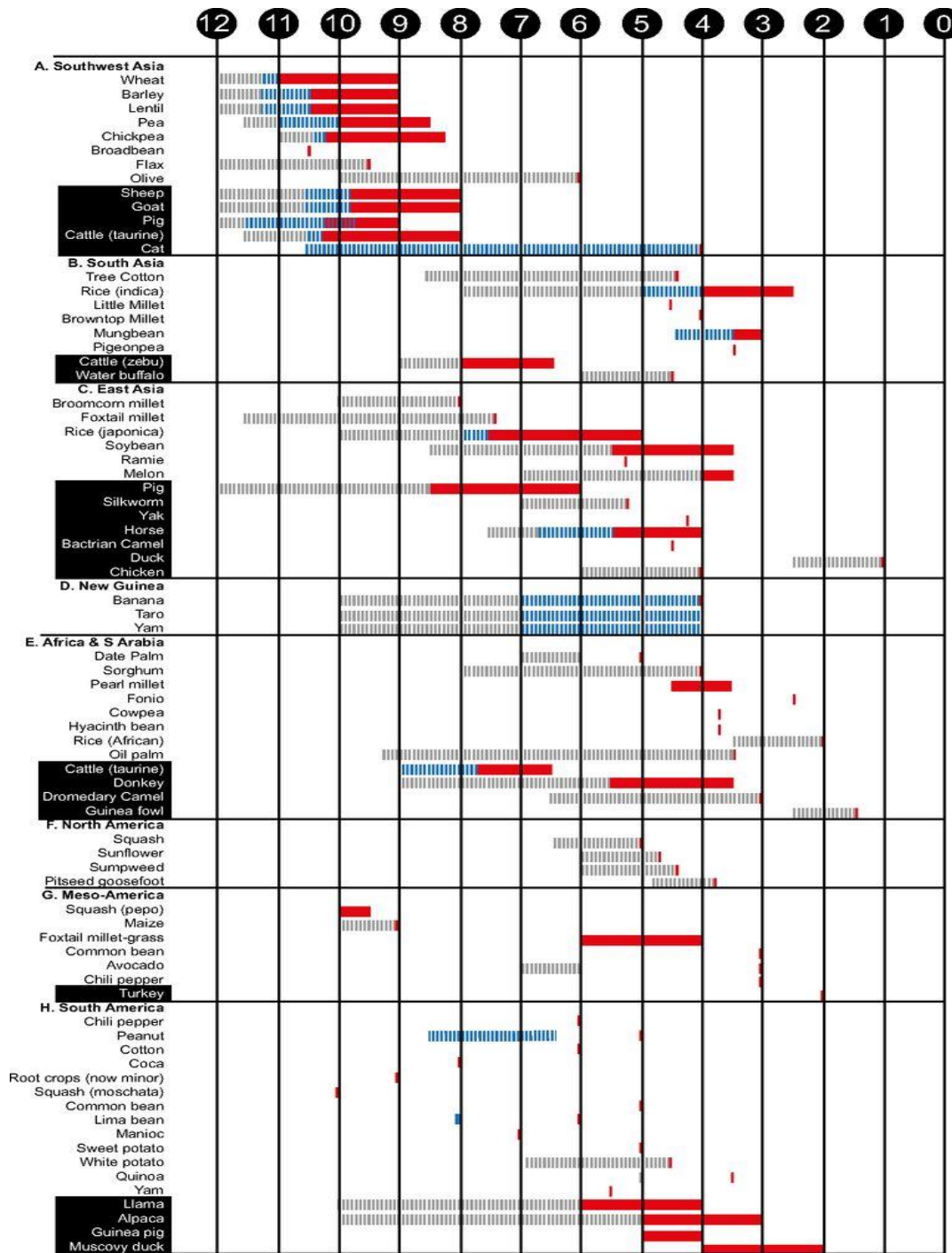
Lack of animal domesticates  
No draught animals for power  
Agriculture entirely dependent  
on human muscle power  
No labour surpluses

Parallel foraging and farming  
over last 7,000 years

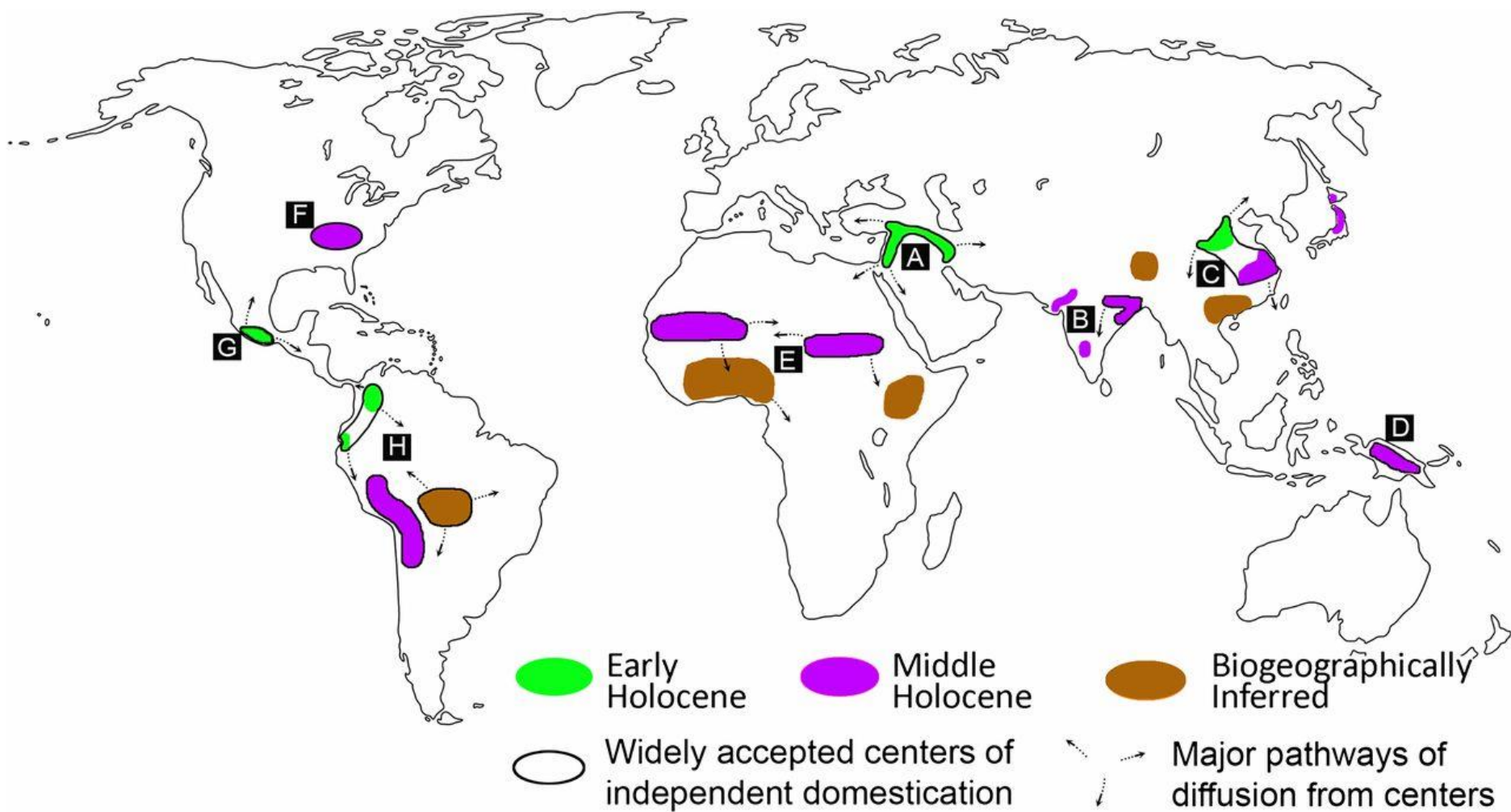
Never developed advanced  
technologies - still using stone  
tools in 1960s  
No metal

Farming did not lead to rapid  
development of more advanced  
civilisations





Larson et al. (2014)





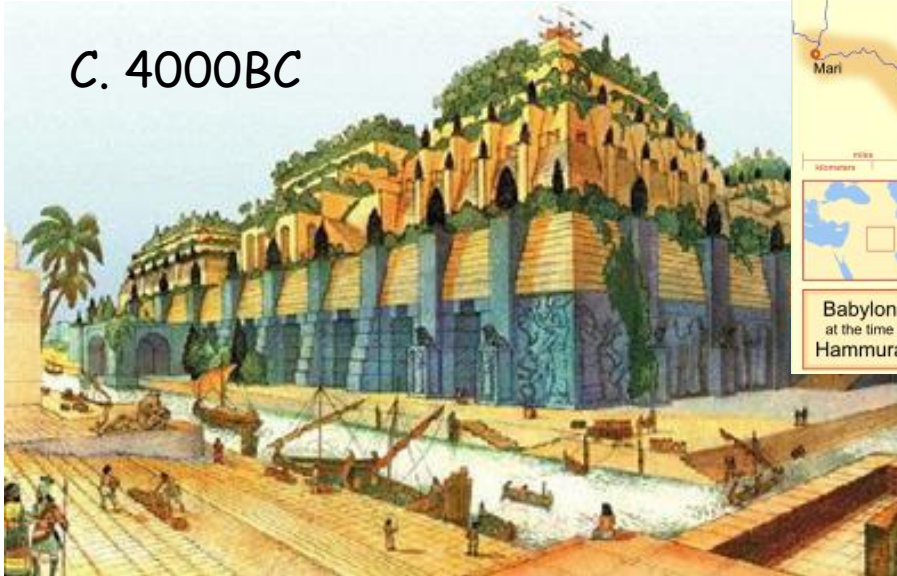
1200AD



1300AD



C. 4000BC



- Inca empire in the Andes
- Mayans in Mesoamerica
- Babylon in the fertile Crescent



# Consequences of Domestication I

- Investment in infrastructure, architecture and more permanent material culture
- Crop processing technology and labour requirements
- Larger community sizes
- Specialization of roles such as craft production
- Land ownership and the development of differential wealth accumulation
- Stratification in societies
- Population growth



east-west vs north-south axes

- Mexican wheels and writing never reached the Andes and vice versa, llamas and potatoes never made it to Mexico.

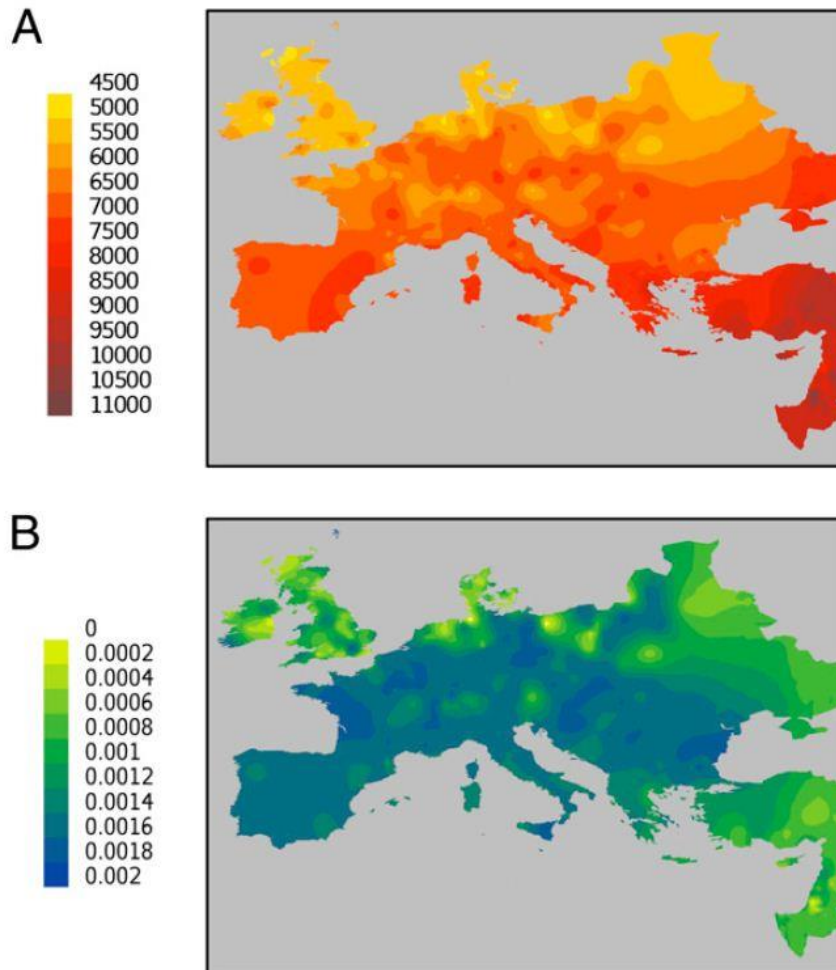
## Consequences of Domestication II - Impacts on Human Societies

- Differences in biogeographic 'luck' and continental orientation / isolation became one of the main reasons why Eurasian peoples conquered Native American peoples, rather than the other way round.
- From c. 10,500 BP, food production was accompanied by human population explosion that has continued to the present day. Food could be produced much more densely and productively.
- Food production also led to an explosion of technology, full time craftspeople and inventors, kings, beaurocrats, and soldiers prompting social stratification, political centralization and standing armies.
- All these advantages are what enabled farmers to eventually displace hunter-gatherers.

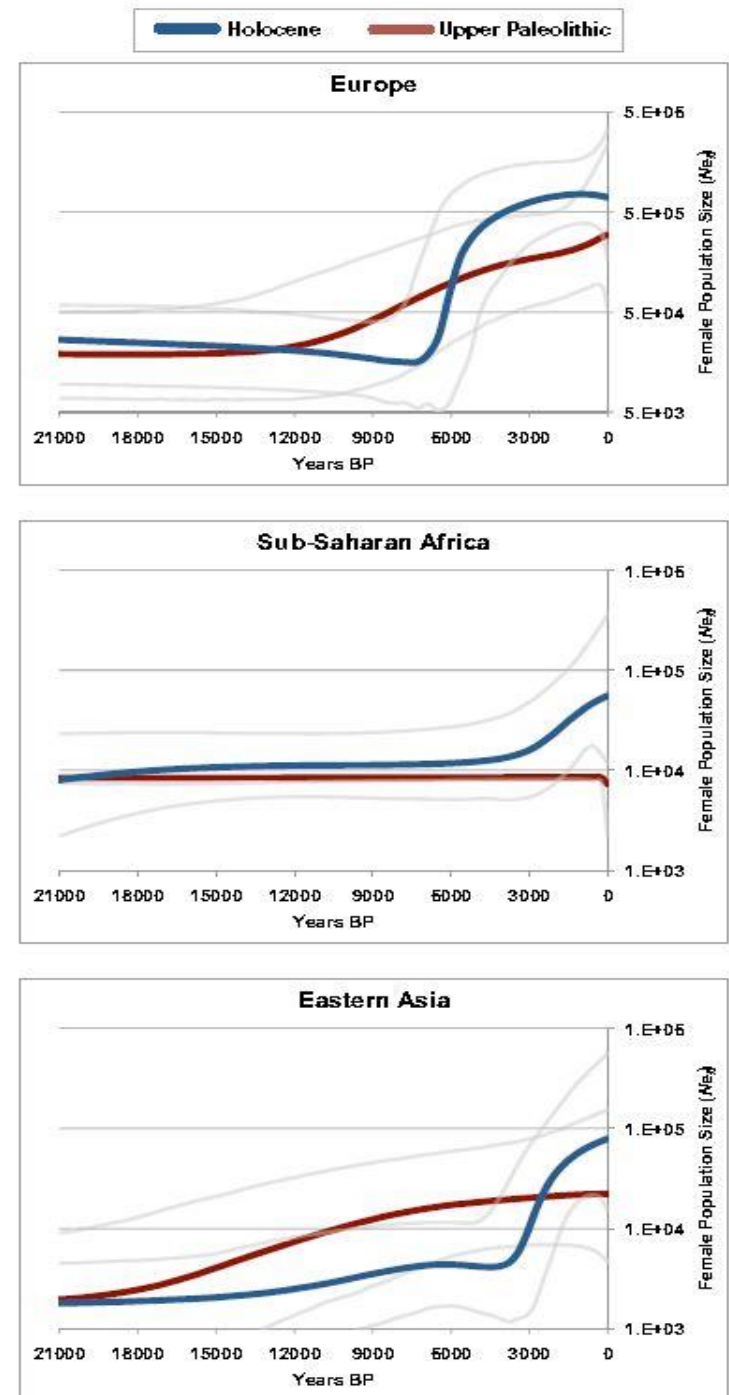
World Population	Expansion
Time BP	Millions of people
12,000	1-10
2,000	170-400
220 (1780)	800-1,100
150 (1860)	1,100-1,400
70 (1940)	2,400-2,600
0 (2010)	6,900
+40 (2050)	9,100



# Rapid global demographic expansions after the origins of agriculture: 5-fold increase in population growth



Gignoux et al (2011)



# Consequences of Domestication III

## The Evolution of Epidemic Infectious Diseases

The main killers of human beings since the advent of agriculture have been acute, highly infectious, epidemic diseases.

'Crowd diseases' could not have existed before the origins of agriculture, because they can sustain themselves only in large dense populations that did not exist before agriculture.

Many diseases evolved from similar epidemic diseases of domestic animals:

- measles and tuberculosis arose from diseases of cattle
- influenza from pigs and chickens / ducks
- smallpox from either cattle or camels.

Crowd diseases became agents of conquest, because unexposed populations lacked any immunity. All but one of our large domestic mammals were Eurasian species, evolution of crowd diseases was concentrated in Eurasia, and these diseases became some of the most important agents by which Eurasian colonists expanding overseas killed indigenous peoples of the Americas, Australia, the Pacific and southern Africa.



## Consequences of Domestication IV

Demographic, technological, political and military advantages - the first farmers got the first metal tools, writing, empires and professional armies.

Many of our deadliest infectious diseases

- require dense human populations to thrive
- often involved switches from domesticated livestock to humans.

These advantages - *guns, germs and steel* - the agents of conquest

The history of the last 10,000 years consists of tales of hunter-gatherer societies being driven out, infected, conquered or exterminated by farming societies in every area of the world suitable for farming.

Languages - human expansions and replacements, mean that 88% of all humans alive today speak a language belonging to one of a mere seven language families confined in the early Holocene to two small areas of Eurasia, the Fertile Crescent and parts of China.

Through that head start, the inhabitants of these two areas spread their languages and genes over much of the rest of the world.



# Collision at Cajamarca 1532



Pizarro seizing the Inca  
of Peru, painting by  
Millais, 1845

## Pizarro

Spanish conquistador

vs

## Atahualpa

Inca Emperor, monarch of the  
largest and most advanced  
state in the New World

62 horse soldiers

106 foot soldiers

Unfamiliar mountainous terrain

Nearest Spanish reinforcements

1500km away in Panama

Horses

Guns

Swords & armour (metal)

European maritime technology

Writing

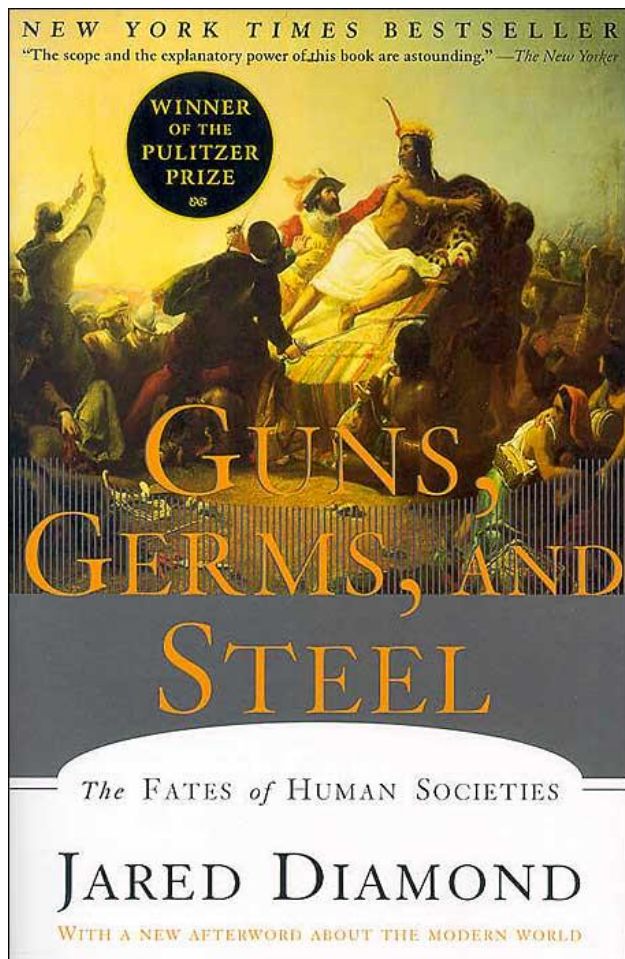
80,000 Inca warriors

In the heart of his own empire  
of millions of subjects

No horses, no guns, no swords,  
just clubs and arrows

No writing

No wheels



<http://topdocumentaryfilms.com/guns-germs-and-steel/>

Questions for next week:

What are the benefits and risks associated with genetically modified crops?

Is genetic modification changing the relationship between plants and people in fundamental ways?

What will we be eating in 30 years from now?



# BIO235 Course Assessment

Course Assignment = 50%

Exam = 50%

Exam will be on Tuesday 18th Dec 10:15-11:45 = 1.5 hrs

Mix of short answer questions and short essay questions covering the whole course

All of them will be easy & straightforward!

# BIO 235 Plants and People - Evolution and Domestication of Crops

## Student Course Evaluation (LVB)

- Link to survey: <https://qmsl.uzh.ch/en/AMNWA>
- Survey period: Nov 19 - Dec 9, 2018 (Reminder: Dec 3)
- Number of participants, who receive the access data automatically:  
26 participants